

## TOPIC REVIEW

# Molecular biomarkers to assess health risks due to environmental contaminants exposure

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Biomarkers, or bioindicators, are metric tools that, when compared with reference values, allow specialists to perform risk assessments and provide objective information to decision makers to design effective strategies to solve health or environmental problems by efficiently using the resources assigned.

Health risk assessment is a multidisciplinary exercise, and molecular biology is a discipline that greatly contributes to these evaluations because the genome, transcriptome, proteome and metabolome could be affected by xenobiotics causing measurable changes that might be useful biomarkers. Such changes may greatly depend on individual genetic background; therefore, the polymorphic distribution of exposed populations becomes an essential feature for adequate data interpretation.

The aim of this paper is to offer an up-to-date review of the role of different molecular biomarkers in health risk assessments.

**Key words:** Biological markers, risk assessment, environmental pollutants, molecular biology, molecular epidemiology.

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## **Biomarcadores moleculares para evaluar el riesgo para la salud debido a la exposición a contaminantes ambientales**

Los biomarcadores, o bioindicadores, son herramientas métricas que, al compararse con los valores de referencia, permiten evaluar los riesgos y generar información objetiva que ayude a las autoridades a planificar estrategias efectivas, solucionar problemas de salud o ambientales y utilizar los recursos asignados de manera eficiente.

La evaluación de riesgos en salud es un ejercicio multidisciplinario y la biología molecular contribuye enormemente a estos estudios, dado que el genoma, el transcriptoma, el proteoma y el metaboloma pueden verse afectados por xenobióticos, lo que causa cambios cuya medición resulta útil en la adopción de decisiones. Dichos cambios pueden variar por la carga genética de cada individuo y su distribución polimorfa en las poblaciones expuestas se convierte en un factor esencial para una adecuada interpretación de resultados.

Por lo tanto, el objetivo del presente artículo fue hacer una revisión de los diferentes biomarcadores moleculares aplicables a la evaluación de riesgos para la salud provenientes de los contaminantes ambientales.

**Palabras clave:** marcadores biológicos, medición del riesgo, contaminantes ambientales, biología molecular, epidemiología molecular.

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### Indicators or biomarkers

Indicators or markers are widely used metric tools that assess the degree of agreement or accomplishment of qualitative or quantitative data with respect to a given reference value. Specialists and the general public routinely apply them in practically

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all fields, such as economics, development, politics, academics and health. Indicators provide precise and specialized information to the general population and/or professionals to acquire an accurate perception of specific issues on the status of society or on personal performances. Changes in specific indicators can be used to estimate the status of a system and assess its evolution, progress, improvement or risk; then, indicators provide the basis to implement political changes or for informed decisions towards the efficient achievement of particular goals.

In Biology and Health Sciences, the US National Academy of Sciences defined biomarkers as “cellular or biochemical components or processes altered by a xenobiotic; those changes can be measured in the entire system or in a biological sample” (1). Thus, according to biochemistry or physiology, reference values of certain metabolic activities or physiological functions are used to assess the health status of an individual. Based on biomarker data, the extent of changes caused by internal or external factors can be assessed and used to design strategies to solve such situations.

### **The environment and health issues**

The need to know the incidence of disease and its etiology, particularly due to environmental occupational exposures, started with Bernardino Ramazzini (1633-1714), an Italian physician from Padova, who successfully associated occupational environmental exposures with specific pathologies. In his book “De morbis artificum diatriba” (1700), he associated 54 work activities with illnesses. The book was the first attempt to systematically classify diseases according to the chemicals present in the work environment and the pathologies developed (2). Presently, biomarkers are used as specialized parameters to assess particular conditions in a cell up to a population. Biomarkers are useful to make informed decisions at all levels –they are necessary to implement policies aimed at solving challenges or preventing situations efficiently. However, biomarkers should be reliable and opportunely available to decision makers.

### **Biomarkers characteristics**

Biomarkers are classified as biomarkers of exposure, effect or susceptibility (3) (figure 1). Each one is useful to assess the health status of an individual, a population or even an ecosystem. A biomarker can be used alone; however, sometimes biomarkers of different types need to be analyzed

jointly because some of them may not be highly specific and may require correlation with other parameters for adequate interpretations.

To be considered reliable, a good biomarker should:

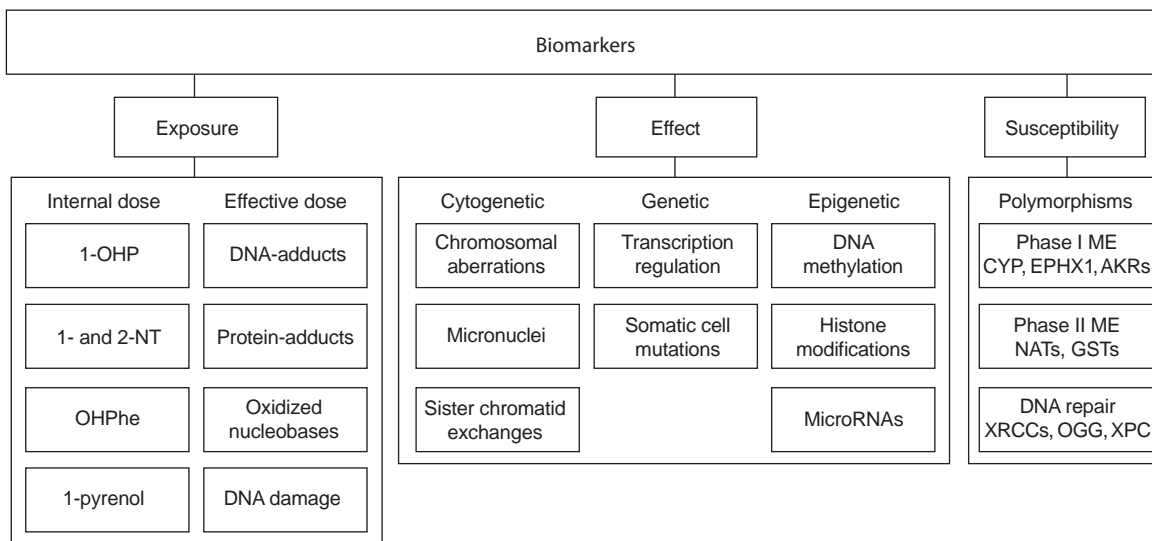
- a) Respond to a biologically active contaminant,
- b) have a dose-effect response correlated with the contaminant levels,
- c) have a response that persists even if the exposure to a pollutant ceases because the compound may not remain indefinitely in the environment,
- d) preferably be non-invasive in the case of humans and should be easily measurable, and
- e) be specific so that an effect can be attributed to a chemical, thus contributing to the certainty of an assessment, and sensitive because the biomarker response should be related to the amount of toxicant capable of eliciting an effect.

These parameters indicate the biological and environmental relevance of pollutant concentrations (4) (figure 2).

Blood is the preferred tissue to analyze biomarkers; its collection is of low invasiveness, and it is a readily available tissue that contains different cell types (erythrocytes and lymphocytes) and fluids (plasma). One of its characteristics is that it distributes nutrients, collects metabolic products that carry information among organs, or serves as vehicle for their excretion. However, blood has its own features, cells belong to different lineages, and metabolites come from different organs. When blood or blood cells are used as surrogates for other tissues, toxicity and risk assessment results are obtained faster than with solid tissues, and the physiological changes in organs or fluids may be reflected by changes in the blood. In other words, changes in blood measurements should be closely correlated with the effects in the parent organ.

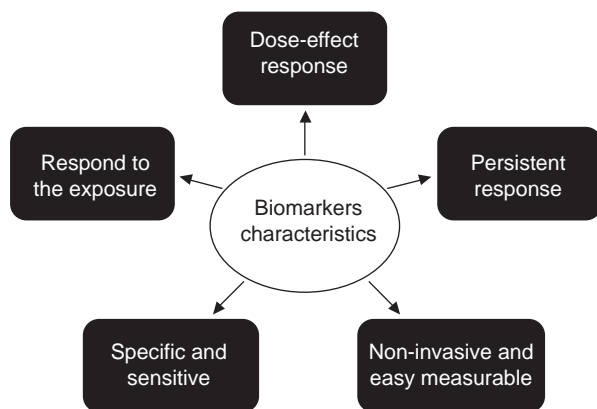
Adequate biomarkers are necessary to develop a successful biological monitoring program, and the following steps should be taken:

- a) Select a biomarker that fits with almost all criteria of reliability;
- b) delimit the size of the biological sample to be studied in terms of the sensitivity and specificity of the biomarker;
- c) consider all confounding factors that may alter results, as well as co-exposure to other chemical agents, and



**Figure 1.** Classification of biomarkers

1-OHP: 1-hydroxypyrene; AKRs: aldo-keto reductases; CYP: cytochrome P450 enzymes; EPHX1: epoxide hydrolase 1; GSTs: glutathione S-transferases; ME: metabolizing enzyme; NATs: *N*-acetyltransferases; NT: naphthol; OGG: oxoguanine DNA glycosylase; OHPhe: hydroxyphenanthrenes; XPC: *Xeroderma pigmentosum* - C; XRCCs: X-ray cross-complementing



**Figure 2.** Requisites that a biological response should comply to be considered as a biomarker for health assessment

d) select the appropriate tissue for analysis.

The results will reflect the total burden of chemical agents that enter into an individual through all body routes.

**Biomarkers of exposure**

A biomarker of exposure refers to the quantitation of a parent compound or its metabolites in a tissue or body fluid, or the reaction product(s) of any of those with a biological molecule (4). According to this definition, biomarkers of exposure can be classified into:

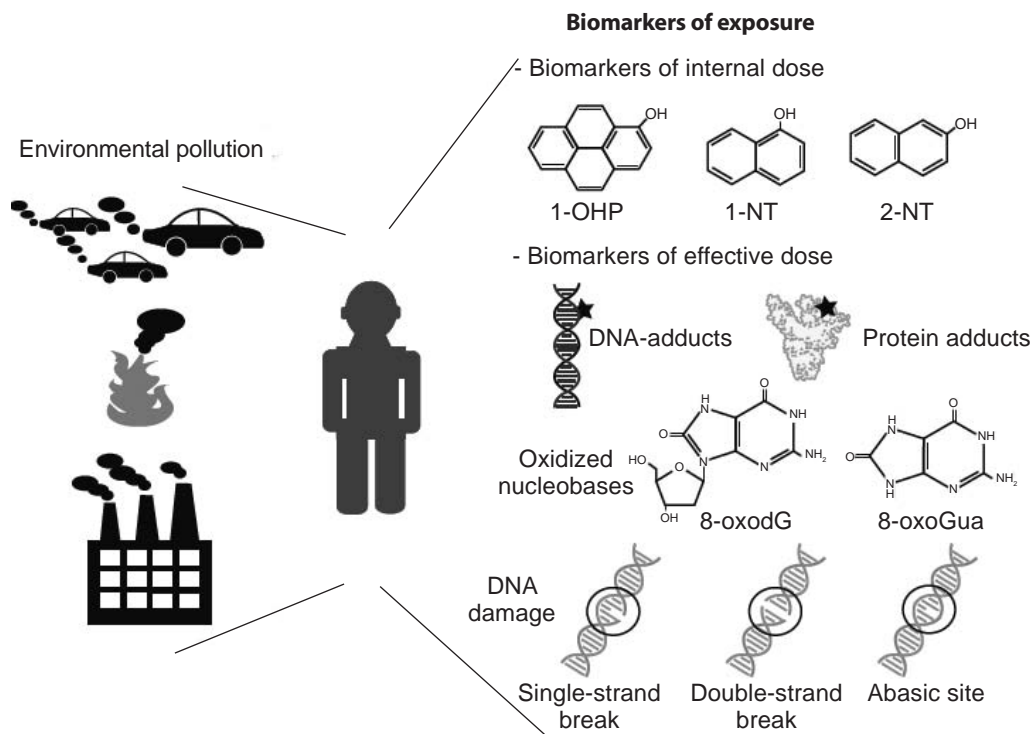
1) Markers of internal dose, which indicate the concentration of a given pollutant in an organism, and

2) markers of effective dose, which indicate the extent of the interaction of a pollutant with a target molecule (figure 3).

A brief summary of the methods used to study several types of common biomarkers, surrogate tissues for analysis and environmental factors that modify their levels is shown in table 1.

**Biomarkers of internal dose**

Because direct determinations of environmental pollutants do not necessarily reflect the biologically available concentrations in an organism, the evaluation of internal doses becomes necessary to assess the current exposure status in terms of the quantity of the parent compound or its metabolites present in the body fluids or tissues of exposed individuals or populations (4). Some metabolic products from the biotransformation of a xenobiotic are useful biomarkers of internal dose. The environmental or occupational exposure to polycyclic aromatic hydrocarbons (PAH) can be monitored by the quantitation of metabolic intermediates of frequent and abundant chemicals in the mixture, such as 1-hydroxypyrene (1-OHP), the main pyrene metabolite (90%), with an average half-life of approximately 18-20 hours after pyrene inhalation (5). Urinary 1-OHP is routinely used as a biomarker in workers occupationally exposed to PAHs (6-8), tobacco smokers (9,10) and the general population exposed to airborne pollution (11-13). Urinary 1-OHP is readily measured by high performance



**Figure 3.** Biomarkers of exposure. The biomarkers of exposure are classified as markers of internal dose and markers of effective dose. 1-OHP: 1-hydroxypyrene; 8-oxodG: 8-oxo-7,8-dihydro-2'-deoxyguanosine; 8-oxoGua: 8-oxo-7,8-dihydroguanine; NT: naphthol

**Table 1.** Biomarkers of exposure. Environmental compounds that modify the listed biomarkers of exposure, the main methods for their identification and the biological samples used for analysis

	Biomarkers of exposure		
	Environmental compounds	Methods for identification	Types of samples
Biomarkers of internal dose			
1-OHP	PAHs	HPLC-FD	Urine
1- and 2-NT	Tobacco smoking	GC/MS	
OHPh	Airborne pollution		
1-pyrenol			
Biomarkers of effective dose			
DNA-adducts	PAHs	MS	White blood cells
	Metals	Immunoassay	Lung tissue
	Tobacco smoking	Immunohistochemistry	
	Traffic pollution	<sup>32</sup> P-postlabeling	
Protein adducts	Air pollution	Immunoassays	Serum albumin
	Heterocyclic amines	HPLC-FP	Hemoglobin
	PAHs tobacco smoke	GC/MS	
Oxidized nucleobases (8-oxoGua, 8-oxodG)	Environmental pollution	Immunoassay	Urine
	Metals (Cd, As, Co, Cr, V)	Immunohistochemistry	White blood cells
	PAHs	MS	Tissue (lung, nasal epithelia)
	PM	Chromatography	Urine
		<sup>32</sup> P-postlabeling	
DNA damage	Air pollution	FPG-based methods	Peripheral lymphocytes
	PAHs	Comet assay	Leukocytes
	PM	(Endonuclease III, FPG, AlkA)	Epithelial cells
		DNA repair test	Sperm
		<i>In vitro</i> comet repair assay	

1-OH: 1-hydroxypyrene; 8-oxodG: 8-oxo-7,8-dihydro-2'-deoxyguanosine; 8-oxoGua: 8-oxo-7,8-dihydroguanine; FPG: formamidopyrimidine DNA N-glycosylase; GC/MS: gas chromatography coupled to mass spectrometry; NT: naphthol; OHPh: hydroxyphenanthrenes; PAHs: polycyclic aromatic hydrocarbons; HPLC-FP: high performance liquid chromatography with fluorescence detection; PM: particulate matter

liquid chromatography with fluorescence detection (HPLC-FD), and/or gas chromatography coupled to mass spectrometry (GC-MS); its quantitation has shown a good correlation with PAH human exposure at both high and low environmental levels (5).

Other examples of internal dose biomarkers for environmental contaminants are 1- and 2-naphthol (NT), naphthalene metabolites that have been proposed as PAH biomarkers of air or inhalation exposure (14-16); phenanthrene hydroxylated metabolites (OHPhe), which are considered good biomarkers for occupational PAH exposure (10), and 1-pyrenol, another pyrene metabolite, which is less frequently used because its concentration does not necessarily correlate with the levels of exposure to carcinogenic PAHs (17).

### **Biomarkers of effective dose**

Biomarkers of effective dose indicate the interaction of a chemical with a biological target, such as DNA and proteins, or its damaging effect to a biological molecule. Considering that there may be substantial inter-individual variations in the metabolism of the compounds, the determination of the effective dose is required for the evaluation of the health effects of pollutants in organisms (4). The classical biomarkers of effect determined by the exposure to chemical pollutants are DNA and protein adducts; the levels of DNA oxidized nucleobases and DNA damage.

**DNA adducts.** A DNA adduct is the product of covalent binding of a small electrophilic chemical compound to DNA (18). The nature of the electrophilic moiety of the DNA adduct can be a parent compound or a product of its metabolism. Although DNA adducts are targets of multiple DNA repair enzymes, some modifications may persist and produce several undesirable effects, such as nucleotide substitutions, leading to DNA mutations and synthesis of altered protein products (19). Many environmental air pollutants (20), tobacco smoke (20-22) and PAHs from traffic exhaust (23,24) or from occupational exposure (21) have shown a positive correlation with DNA adduct formation in exposed populations. For further information, see reference (25).

DNA adducts have been assessed and quantified in almost all human tissues, but the preferred samples of study are white blood cells due to their availability, and lung tissue because the lung is the point of entry for most carcinogenic environmental contaminants (26). DNA adducts are evaluated

by three main methodological approaches, all characterized by their sensitivity, specificity, cost and instrumentation (19). Mass spectrometry (MS) is the most specific methodology and is able to identify DNA adducts according to their molecular weight. This is a high-throughput platform that allows the identification of several adducts in a sample. However, DNA adduct determination by MS requires complex instrumentation and is expensive. Immunoassays and immunohistochemistry are techniques that use antisera to identify specific DNA adducts in DNA samples or directly in the studied tissues. These are low-cost and high-throughput assays with the limitation that the adduct species to identify are restricted by the availability of specific antisera; therefore, the discovery of new adducts using these methodologies is not possible. <sup>32</sup>P-postlabeling includes DNA digestion and radioactive labeling, and then normal and modified DNA molecules are separated by chromatographic techniques. Despite the fact that this methodology is unable to elucidate the structure of DNA adducts, only the DNA adduct profile of the sample, it is the approach of choice in several studies on DNA damage caused by environmental pollution at very low exposure levels (27,28). According to Poirier *et al.* (19), approximately 40 different DNA adducts have been identified in human samples using the aforementioned techniques.

**Protein adducts.** Protein adducts are the product of a covalent interaction between a reactive chemical compound and a protein. The most common protein adducts evaluated are those formed with serum albumin [particularly with cysteine at position 34 (Cys<sup>34</sup>)] and hemoglobin; these proteins are found in very large quantities in blood that react with electrophilic compounds via their reactive carboxyl, amino and/or sulfhydryl groups (29). The study of protein adducts as surrogate markers of effective dose offers the advantages that proteins often have longer half-lives than other markers (parent compound or its metabolites), they are much more abundant than DNA adducts, and they are not subjected to repair (29). The methodological approaches employed for protein adducts research are based on the number of samples, time, and available methodologies. For large-scale studies, immunoassays are recommended, but chromatographic-based techniques, such as HPLC-FP or GC-MS, are also suitable.

The protein adducts assessed in environmental, smoking-related or occupational exposure to PAHs are those formed with benzo[a]pyrene (BaP) or the



carcinogenic metabolite of BaP (r-7,t-8-dihydroxy-t-9,t-10-epoxy-7,8,9,10-tetrahydrobenzo[a]pyrene), BPDE. BaP and BPDE form adducts with serum albumin and hemoglobin. BaP protein adducts are well correlated with smoking, but the adduct levels showed only a marginal association or even no association at all with environmental PAH exposure (30). Moreover, BaP adducts have been found at higher levels in people living in rural or suburban areas than in subjects from urban residences, possibly due to the heat generation by organic combustion in the former regions (28,30). Interestingly, no association of protein and DNA adducts has been observed in studies that evaluate the exposure to rural and urban air pollution, which was attributed to differences in half-life, kinetics and methodological approaches for both markers (28).

*Oxidized nucleobases.* The production of reactive oxygen species (ROS) in aerobic organisms is a natural and ubiquitous process associated with cell metabolism (31). Different enzymatic pathways are dedicated to maintenance of the correct balance between pro-oxidative and anti-oxidative status, but some exogenous stimuli may shift this equilibrium and induce a pro-oxidant condition that can damage cell integrity. As is well known, ROS are able to modify the DNA nucleobases and generate mutations when those are not repaired by the corresponding mechanisms (25). Although a wide number of oxidative modifications of DNA have been described, the oxidation of guanine generates 8-oxo-7,8-dihydroguanine (8-oxoGua) and its deoxynucleoside, 8-oxo-7,8-dihydro-2'-deoxyguanosine (8-oxodG), which are the most commonly measured products of oxidative DNA damage (31). Modified guanine products originate from direct oxidation of guanine in double-stranded DNA or by the modification of dGTP to 8-oxo-dGTP in the general pool of nucleotides in the cell, which is then incorporated in the DNA during duplication or repair (32). The main repair mechanism of guanine-oxidized lesions is mediated by the enzyme oxoguanine DNA glycosylase 1 (OGG1) via the excision of 8-oxoGua from the DNA (31), with the final product released in the urine. However, other minor repair systems have been described (32). If the corresponding repair system fails in the removal of 8-oxoGTP, it results in mutagenesis due to the production of GC→TA transversions during DNA replication (33).

The level of guanine-oxidized products is mainly determined in leukocyte DNA and in urine, even though it has also been detected in different

tissues, such as nasal epithelia. Despite the fact 8-oxoGua is the major product of DNA repair, the determination of 8-oxodG is preferred because there are many standardized and validated methods for 8-oxodG determination by chromatographic methods and by commercial ELISA kits (32). Moreover, the 8-oxoGua product is less stable than its deoxynucleoside counterpart, which makes its extraction, separation and detection difficult (32).

In general terms, the levels of 8-oxodG in a given organ or tissue reflect the oxidative state in that particular sample, whereas the levels of 8-oxodG in urine is a measure of the oxidative damage in the whole organism (32). The detection and analysis of 8-oxodG is accomplished by chromatographic approaches, mainly HPLC with electrochemical (EC) detection (HPLC-EC), GC-MS and liquid chromatography tandem mass spectrometry (LC/MS-MS); immunoassays and immunohistochemistry; <sup>32</sup>P-postlabeling, and the formation of strand breaks by the removal of 8-oxodG with the use of formamidopyrimidine DNA N-glycosylase (FPG) (31,32).

Many environmental pollutants have the ability to induce ROS production, such as particulate matter (PM), traffic-related contaminants, wood smoke and heavy metals, among others (25,32,33). Overall, there is strong evidence that there is an association between environmental air pollution and the urinary excretion of 8-oxodG or 8-oxoGua, biomarkers that are widely used to assess endogenous oxidative DNA damage (25).

*DNA damage: comet assay.* The comet assay or the single cell gel electrophoresis assay is an easy, fast and sensitive method to evaluate DNA transient damage at the level of single- and double-stranded breaks, abasic sites, and DNA replication and repair intermediates. DNA is isolated from the rest of the cell components with a high saline solution with detergents in a gelatin-covered slice. The obtained DNA is incubated in an alkaline media to unwind DNA with strand breaks and then it is subjected to electrophoresis. The broken DNA portions will migrate towards the anode resembling a "comet tail" and are visualized with a fluorescent DNA-binding dye (34,35). In general terms, the more DNA damage the longer the DNA tail. Modifications of the basic comet assay include the use of lesion-specific enzymes for the identification of modified nucleobases to increase the specificity and sensitivity of the method (34). Usually, the endonuclease III enzyme is selected to detect oxidized pyrimidines,

FPG for 8-oxoGua identification (oxidized purines) and AlkA for alkylated bases (35). Other variants of the traditional comet assay are the DNA repair test and the *in vitro* comet repair assay. The former measures the DNA repair kinetics and the degree of repair after a genotoxic cellular stimulus and the latter, the capacity of cell extracts to repair damaged DNA (35).

The comet assay is considered a highly sensitive assay, and its results correlate well with other cytogenetic tests, such as micronucleus (MN), sister chromatid exchange (SCE) and chromosomal aberration (CA) analysis (34). The preferred biological material for the comet assay comes from isolated peripheral lymphocytes or leukocytes, but other type of cells have been used satisfactorily, such as epithelial cells obtained from the mouth, nose and tear ducts, exfoliated bladder cells, and sperm, all of them appropriate surrogate tissues for those directly affected by chemicals (36). Moreover, in the case of air contamination, the tissue of choice is the nasal epithelia because the nose is the point of entry of the air pollutants, and these cells have shown great sensitivity in sensing environmental xenobiotics (34).

The application of the comet assay in human biomonitoring for environmental and occupational exposure to genotoxic agents has been extensively reviewed (34,36). Briefly, the majority of the studies reveal a good correlation between exposure to environmental pollution and DNA damage, as well as associations with the results obtained from other biomarkers of exposure (36). For example, occupational exposure to carcinogenic PAHs reduced the ability of cells to repair damaged DNA (37), and the environmental exposure to PM10 from the emissions of an oil refinery increased DNA damage in lymphocytes obtained from exposed subjects (38). Moreover, in buccal epithelial cells from women chronically exposed to biomass smoke, there was a positive correlation between PM10 and PM2.5 indoor levels and DNA damage (39). Tobacco smoke effects on DNA integrity are, in contrast, a controversial issue due to the increased, synergistic, discrepant or null effects observed in several studies. While in some studies there was a strong association of DNA damage with tobacco smoking, in others there was only an effect in conjunction with other genotoxic agents, and in others, the effect was greater in control groups compared with exposure groups (with co-exposure with other chemicals) or there was no association (34,40).

## Biomarkers of effect

The presence of chemical contaminants or adverse agents in the environment will induce physiological and biochemical changes in a living being, and such changes are used as biomarkers of effect. These alterations must be a response to a chemical exposure and are manifested as the regulation, overexpression or inhibition of a specific behavioral, biochemical, anatomical, physiological or molecular function (27). Biomarkers of effect assess the levels of genetic damage in DNA and chromosomes, alteration of enzymatic activity, gene transcription, and/or gene mutation as well as epigenetic modifications, among others. In terms of this review, the molecular biomarkers of effect will be divided into three categories: cytogenetic, genetic and epigenetic biomarkers, which are summarized in figure 4 and table 2.

### Cytogenetic biomarkers

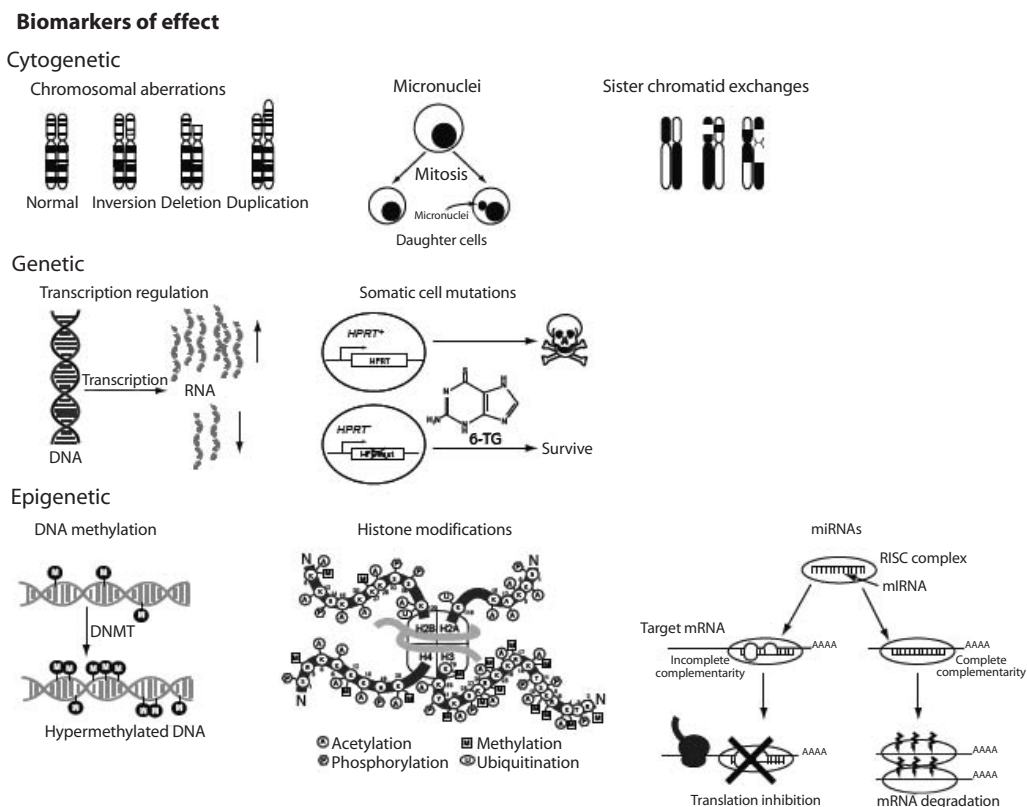
The evaluation of cytogenetic biomarkers constitutes the first-choice tool in the assessment of human exposure to different agents, as contact with environmental pollutants is a common area of study (41). The cytogenetic assays that have been widely and extensively used involve the determination of CAs and MN, but other approaches have been used successfully, such as the determination of SCEs. Nevertheless, cytogenetic biomarkers are unspecific to the type of agent of exposure, and there are many confounding factors, such as age, cigarette smoking, gender, and irradiation exposure, that should be considered to reach correct conclusions (42).

**Chromosomal aberrations.** The alteration of the normal arrangement of chromosomes by deletions, duplications or reorganizations of the genome that can be visualized microscopically is defined as a chromosomal aberration (CA) (25,43). Double-stranded breaks of DNA (DSB) are the main source for CAs and are naturally produced during DNA synthesis by the accumulation of single stranded breaks through excision DNA repair, oxidative DNA modifications, and other processes or by external stimuli, such as UV and ionizing radiation, mutagens, antibiotics or nucleases (43). DSBs are generally repaired by three different mechanisms in eukaryotes: Homologous recombination repair (HRR), single-strand annealing and non-homologous DNA end joining. If DSBs in DNA are left unrepaired, the chromosome remains broken and leads to cell lethality. On the other hand, if the DNA repair mechanisms fail in the correct reestablishment of

the genetic information, it may generate rearrangements, mutations and cell transformation (43).

The microscopic evaluation of structural CAs relies on staining chromosomes using different techniques. Human lymphocytes are cultured (metaphase or interphase), and chromosomes are stained mainly by three different techniques: solid staining, chromosome banding and fluorescence *in situ* hybridization (FISH). Solid staining, which consists of labeling the chromosomes with only one color, is a very limited method that only allows for the identification of dicentric and acentric products of asymmetrical exchanges, underestimating the genetic damage of the cell (43). Moreover, as asymmetric exchanges are lethal to cells, the cytogenetic assessment can only be performed during a short period of time due to the instability of the aberrations (44). The chromosome banding technique consists of different chromosomal banding stains, such as Giemsa staining (G-banding), reverse Giemsa (R-banding) staining, centromeric (heterochromatin) (C-banding) or multi-colored banding stain with hybridization labels for specific regions of the chromosome (mBANDs).

Chromosome banding identifies all types of structural arrangements, including intrachromosomal exchanges (that cannot be identified by FISH), but this methodology is time consuming and demands a skillful analyzer (41,44), which is a disadvantage in large-scale studies. In contrast, FISH is the most powerful technique and uses chromosome-specific probes, allowing for the detection of complex genetic configurations involving several breaks in two or more chromosomes in addition to symmetric and asymmetric rearrangements (43). Single and multicolored FISH protocols increase the number of chromosomes “painted,” and the number of aberrations identified is increased as well as the precision of the analysis (43). The greater specificity and speed of this approach allows the evaluation of larger quantities of cells, which increases the sensitivity of this method for the analysis of low frequency aberrations due to low-concentration exposures (44). One disadvantage of this method is that, to gain specificity, it requires augmenting the number of labeled chromosomes (with different colors or intensities), making the study costly and the analysis complex (41).



**Figure 4.** Biomarkers of effect. The molecular biomarkers of effect are divided in three main groups: Cytogenetic, genetic and epigenetic. 6-TG: 6-thioguanine; DNMT: DNA methyltransferase



**Table 2.** Biomarkers of effect. Environmental compounds that modify the listed biomarkers of effect, the main methods for their identification and biological samples for their study

	Biomarkers of effect		
	Environmental compounds	Methods for identification	Types of samples
Cytogenetic Chromosomal aberrations	Traffic pollution Air pollution Metals	Solid-staining Chromosome banding FISH	Lymphocytes
Micronuclei	Traffic pollution Air pollution Metals (As, Cd, Hg)	May-Grünwald Giemsa Papanicolaou's stain Feulgen stain Acridine orange stain Cytokinesis-block MN assay	Lymphocytes Exfoliated epithelial cells
Sister chromatid exchanges	Traffic pollution Metals (Cd, Cr, Al, As, Pb, Zn)	BrdUrd incorporation	Lymphocytes
Genetic Transcription regulation	PAHs Airborne particles: DE, DEPs, UFP, PM Tobacco smoking	Gene specific RT-PCR Northern Blot Genome wide microarrays	Leukocytes PMCs, lymphocytes Macrophages Airway epithelium Cell lines
Somatic cell mutations (HPRT)	Airborne pollution Tobacco smoke	Autoradiographic assay Clonal assay	T lymphocytes
Epigenetic DNA methylation	Metals (Ni, Cd, Pb, As) Ambient air pollution: PM, black carbon, sulfates, DEP PAHs, BaP	Gene specific MSP, COBRA DNA sequencing Genome wide HPCE, HPLC-MS MeDIP Microarrays	Fluids: Urine, plasma, sputum Lymphocytes Buccal cells Saliva Tissue
Histone modifications	Metals (Ni, Co, As, Cr) DEP PM10 BaP CSC	Gene specific ChIP Genome wide MS, HPLC, HPCE Western Blot ELISA ChIP-on-chip	Cell lines PMC Leukocytes Respiratory epithelia
MicroRNAs	Metals (As, Cd, Al, Pb) PM DE and DEP Cigarette smoke Asbestos Carbon black Ozone BaP	Real time PCR miRNA microarrays Sequencing	Cell lines Primary cell cultures Peripheral blood leukocytes Tissue: Epithelial cells brain, liver Body fluids: Serum, plasma, urine and sputum

BaP: benzo[a]pyrene; BrdUrd: 5'-bromodeoxyuridine; ChIP: chromatin immunoprecipitation; COBRA: combined bisulfite conversion restriction analysis; CSC: cigarette smoke condensate; DE: diesel exhaust; DEP: diesel exhaust particles; ELISA: enzyme-linked immunosorbent assay; FISH: fluorescence *in situ* hybridization; HPCE: high performance capillary electrophoresis; HPLC: high performance liquid chromatography; HPRT: hypoxanthine-guanine phosphoribosyltransferase; MeDIP: methylated DNA immunoprecipitation; MS: mass spectrometry; MSP: methylation specific PCR; PAHs: polycyclic aromatic hydrocarbons; PM: particulate matter; PMC: peripheral mononuclear cells; RT-PCR: reverse transcription-polymerase chain reaction; UFP: ultrafine carbon particles

The association of environmental pollution with cytogenetic damage in exposed populations has been discussed in several reports and review papers (25,27), which have noted CAs are good biomarkers of effect and good predictors of cancer risks (41,44) The most suitable cell type for CA assessment in humans is the peripheral lymphocyte. This cell type offers many advantages: 1) It easily grows

*in vitro*; 2) a high number of metaphase cells are attainable; 3) it provides a whole perspective of the systemic exposure to a chemical; 4) it is a cell type with a relatively long life, and 5) it is an abundant tissue that does not require invasive methods to be obtained (44). In occupational or environmental exposure assessments, the most popular technique for CA characterization is FISH (41).

*Micronuclei.* Micronuclei (MN) are cytoplasmic chromosomes or chromosomal fragments that have been excluded from the nucleus of both daughter cells after cell division. Eventually, the omitted fragments or the whole chromosomes are enveloped by a nuclear membrane that resembles a small nucleus that can be observed by light microscopy (45). Two main mechanisms of MN induction have been defined: Chromosome breakage and disruption of the cell spindle during mitosis (44,45).

Different methodologies are available for MN assessment. Routine staining with May-Grünwald Giemsa or Papanicolaou's stain is suitable for identification of MNs, but DNA-specific stains such as Feulgen or acridine orange are more specific (45). These staining methods are routine procedures that can be performed and afforded by almost all laboratories but are laborious, and scoring may be tedious. The automation of MN scoring by flow cytometry and image analysis offers to increase the efficiency of the evaluation process (41). Recently, the most widespread technique for MN assays has been the cytokinesis-block MN assay (CBMN). CBMN evaluates chromosome abnormalities in dividing cultured cells (binucleated) after cell division has been blocked with cytochalasin-B, a cytokinesis inhibitor. Using this method, other parameters of DNA misrepair, telomere end fusions, DNA elimination or amplification can be measured along with the evaluation of cytostatic effects and cytotoxicity in the same assay (46).

CBMN can be combined with other tools such as *in situ* hybridization or immunostaining to provide more information about the origin of the MN and its content. The identification of a centromeric structure with antibodies against kinetochore proteins would indicate either the presence of one or more chromosomes in the MN or its origin by disruption of the spindle or other components during mitosis. Likewise, the detection of consensus repetitive centromeric sequences with pancentromeric probes allows the identification of whole or partial chromosomes in the MN. Moreover, the use of DNA probes directed against specific sequences provides clues about the specific chromosome contained in a MN (44,46).

Micronuclei assays can be performed in almost any cell, but the majority of surrogates come from the hematopoietic lineage (lymphocytes and erythrocytes) and bone marrow. From those, lymphocytes are the most widely used because

micronucleated erythrocytes are only obtained from splenectomized subjects; on the other hand, the use of bone marrow requires a very invasive method. Recently, some studies evaluated MN in exfoliated epithelial cells obtained from buccal, nasal, urothelial, vaginal or cervical mucosa (41,44,46). These surrogates are easy to collect and, as they are readily in contact with the genotoxic compounds, they are the most vulnerable cells for MN appearance (45).

Many agents and stimuli produce MN, such as pollutants, chemical drugs, radiation, infections, nutritional state and inflammation or even aging (45). The validation of the MN assay as a biomarker for cancer risk assessment remains to be established (44), but some preliminary data has found that MN frequency in lymphocytes is a good predictor for carcinogenesis (47). Despite its lower predictability of cancer, the MN assay was recently substituted for CA determination in studies of exposure to genotoxicants. MN analysis has been widely applied in environmental monitoring, and it is the second most preferred method (only after <sup>32</sup>P-postlabeling) to evaluate exposure to traffic pollution (25), as the assessment of PAHs is a popular issue (41). Moreover, Demetriou, *et al.*, suggested that there is some evidence of an association between MN and exposure to air pollutants (25).

*Sister chromatid exchanges.* The process whereby two sister chromatids break and rejoin during DNA replication, interchanging genetic material at homologous regions, is called sister chromatid exchanges (SCE) (44,48). This is a natural process in mammalian cells that is associated with DNA replication when the two chromatids are tightly joined by the centromere, and the mechanism underlying its formation involves a single-stranded break of DNA. During DNA synthesis, a replication fork is formed between the two parental and the nascent strands. When a gap is encountered in one of them, the replication fork is broken by a DNA repair mechanism, and a 3' single-stranded break forms. The replication fork is restored likely by HRR with the exchange of specific DNA regions between both chromatids (48).

SCE is microscopically analyzed using the thymidine analog 5-bromodeoxyuridine (BrdUrd). BrdUrd is added to the cell culture and incorporated during the first two rounds of DNA replication. During the first replication, each original DNA strand is duplicated with a daughter strand containing BrdUrd. During the second round, the original

DNA strand will be again duplicated with a BrdUrd-containing strand, but the other strand will be double labeled. Therefore, both sister chromatids can be visualized and distinguished with a staining procedure, such as fluorescence plus Giemsa staining, acridine orange followed by Giemsa staining, or with the use of anti-BrdUrd-labeling counter-stained with DAPI or propidium iodide (48-50). Exchanges are recognized by the interchange of physical regions from one chromatid to the other. The ease of analysis has made this assay popular for the assessment of the genotoxic potential of chemicals with the consequent generation of large SCE databases (44).

SCEs are evaluated in any cell that can be maintained in culture for two duplication cycles in the presence of BrdUrd (44), and by far, human peripheral blood lymphocytes are the cell type most widely used for this purpose. Although SCE assays have been extensively used for the determination of the genotoxicity of multiple compounds, some evidence suggests that it is not a reliable biomarker of cancer risk; nevertheless, it can be used as a valuable tool for the assessment of cytogenetic damage in exposed individuals, as well as a biomarker of exposure (44). The effect of ambient pollutants, such as exposure to traffic exhaust, has been assessed and reviewed previously (51).

### **Genetic biomarkers**

**Transcriptional regulation.** The gene expression induction by diverse stimuli reflects the biological response of the cell to the stimulus. In the case of exposure to environmental pollutants, the transcriptional profile of a given cell or organism indicates the defense mechanisms activated, the minimal dose at which the effect is observed, and the time window of gene induction or repression, as well as the signaling pathways involved in the process. Therefore, the knowledge of the repertoire of genes transcribed under a given circumstance aid in the dissection of the mode of action of toxicants.

The available methodologies to assess gene expression profiles include high-throughput platforms, such as cDNA microarrays, and gene-specific methods, i.e., RT-PCR or Northern blots. Microarray analysis is, by far, the preferred methodology, and this procedure includes total RNA extraction and cDNA synthesis for microarray hybridization. Subsequently, the validation of the results is performed by real-time RT-PCR of specific genes of interest.

The transcript profile can be evaluated in multiple types of samples, with availability depending on the model of study. In the case of animal models, the transcriptome can be determined from any type of tissue or fluid, while in human studies, the use of surrogate tissues, such as peripheral blood lymphocytes (PBL) (52) or leukocytes (53), is preferred. Accordingly, Siravastava, *et al.*, noted that PBLs (54) and peripheral blood mononuclear cells (PBMC) (55) are appropriate models to study the effect of diesel exhaust particles (DEP) in animal airways due to the similarities in gene expression patterns in comparison to lung tissue. However, human B lymphocytes (56), alveolar macrophages (57), and airway epithelium (58) have also been used in several reports. Another alternative is the use of cell lines, which serve as models of lung, liver, endothelium, epithelium, lymphocytes, etc.

The evaluation of the transcript levels of some xenobiotic-metabolizing enzymes is a valuable tool for the assessment of exposure to specific environmental pollutants. These biomarkers comprise phase I and II enzymes, such as members of the cytochrome P450 (CYP450) superfamily and conjugating enzymes. Phase I metabolism consists of a series of oxidative reactions that biotransform the parent compound into bioactive or detoxified metabolites. The transcriptional regulation of *CYP1A1* is a typical biomarker of exposure to PAHs that has been widely used in environmental biomonitoring (15). The induction of *CYP1A1* is initiated by the ligand binding to the aryl hydrocarbon receptor (AhR) and its translocation into the nucleus. There, AhR forms a heterodimer with the aryl hydrocarbon receptor nuclear translocator (Arnt) and binds to xenobiotic response elements (XRE) located in the promoter region of target genes, including *CYP1A1*, *CYP1A2* and *CYP1B1*, promoting gene transcription (59).

*CYP1A1* induction by exposure to PAHs, 3-methylcholanthrene and 2,3,7,8-tetrachlorodibenzo-*p*-dioxin (TCDD) has been used for the assessment of exposure to these compounds in several vertebrate models (60-62) and cell lines (61,63,64). Moreover, *CYP1A1* transcript upregulation has been described in various experimental models exposed to airborne PM (65), DEPs (54,55,66) and cigarette smoking (67-69). Alternatively, the *CYP1B1* transcription regulation is also postulated as a sensitive biomarker of ambient pollution (15) because increased mRNA levels have been documented in the presence of PAH mixtures

(63,64), BaP (61,63,70), TCDD (61,71), PM (72), DEPs (54,55,73,74) and tobacco smoking (58,68,69) as well.

Other phase I enzymes mostly reported to be upregulated at the transcriptional level by environmental toxicants are *CYP1A2* (55,75) and various aldo-keto reductases (AKR). AKRs are a family of soluble NAD(P)H oxidoreductases that catalyze the metabolisms of a wide range of compounds, such as PAHs, aldehydes and drugs. AKRs have been associated with exposure to tobacco smoke (52,58,68), quinones derived from diesel exhaust (DE) (76), BaP and TCDD (61,70).

On the other hand, phase II metabolism involves conjugation reactions to increase chemical hydrophilicity for ease of excretion and elimination of possible reactive metabolites. The phase II metabolizing enzymes comprise mainly transferases, including UDP-glucuronosyltransferases (UGT), sulfotransferases (SULT), *N*-acetyltransferases (NAT), and glutathione *S*-transferases (GST), among others (77). At the transcriptional level, the most inducible phase II genes by environmental pollutants are the *UGTs* (72,78) and *GSTs* (54,55,72,74). Other phase II enzymes, such as the NAD(P)H: Quinone oxidoreductase-1 (*NQO1*) and heme oxygenase-1 (*HO-1*) genes, are also upregulated by a wide range of airborne contaminants (55,56,58,61,69,72,74,79).

A master transcriptional regulator for the expression of antioxidant and phase II detoxifying genes is nuclear factor erythroid 2-related factor 2 (Nrf2). Nrf2 is a transcription factor that recognizes specific DNA elements known as antioxidant response elements (ARE) located in the enhancer region of target genes, such as *GST* subunits *A*<sub>1</sub> and *A*<sub>2</sub>, *NQO1*, *HO-1*, *UGTs* and others (80). As expected and associated with the upregulation of phase II enzyme transcription by atmospheric pollutants; also, Nrf2 is also robustly induced by these contaminants. Nrf2 transcriptional activity and expression is increased in the presence of airborne particulate matter (79), BaP and TCDD (61), and cigarette smoke (67). Moreover, it has been suggested that the mechanisms of Nrf2 activation by BaP in HepG2 cells depends on the metabolic transformation of the parent compound and subsequent ROS generation (unpublished data).

Additionally, the exposure to DE or ultrafine carbon particles (UFP) in experimental chambers has provided useful information about transcriptional activation in human volunteers. DE is a model

to study the effects of airborne particles, and the UFP are suggested as main causative agent of the adverse effects of airborne pollutants. Peripheral blood leukocytes are the human tissue of study due to their availability, their inflammatory response activation following PM exposure and their role as cell messengers from the site of entry of the pollutants to the rest of the organs (81,82). Human exposure to DE induced the activation of oxidative stress (82,83), inflammation (83), and the proteasome and coagulation cell pathways (82), as well as UFP-activated pathways associated with inflammation, growth factors, Nrf2-mediated oxidative stress and xenobiotic metabolism (81).

*Somatic cell mutations.* A somatic cell mutation is any change in the genomic DNA caused by internal factors, such as natural errors produced during replication or endogenous agents, or by the action of environmental mutagens (84). The index of mutations can be estimated via the study of reporter genes, and the most frequently used is the *HPRT* gene.

*HPRT* is an X-linked gene that encodes hypoxanthine-guanine phosphoribosyltransferase (HPRT), an enzyme involved in the phosphoribosylation of guanine and hypoxanthine as well as purine analogues, such as 6-thioguanine (TG), 6-mercaptopurine and 8-azaguanine, which require this metabolic step to exert their cytotoxic effects (85). Two different methods have been developed for the assessment of *in vivo* *HPRT* mutations, an auto-radiographic and a clonal assay.

The first method has time and cost advantages, but it is unable to detect the origin of the mutational phenotype of the cells. The cell model of study is T lymphocytes, which are cryopreserved, followed by stimulation for cell division with phytohemagglutinin (PHA) for <sup>3</sup>H-thymidine incorporation in selection media containing TG. Only mutant cells (*HPRT*<sup>-</sup>) are able to incorporate <sup>3</sup>H-thymidine and TG, while normal cells (*HPRT*<sup>+</sup>) are sensitive to TG and do not synthesize DNA. After the isolation of cellular nuclei, they are fixed and autoradiographed, and variant frequencies are scored (86).

On the other hand, the clonal assay allows the isolation, clonal expansion and characterization of the mutant cells. Isolated T lymphocytes are activated with PHA and seeded in microplates containing *HPRT*-deficient feeder cells (B-lymphoblastoid cells) in media supplemented with T cell growth factor in the presence or absence of TG. The cell density to be seeded in each plate depends on TG



supplementation; TG-containing wells receive a high cell density for the growth of rare *HPRT* cells ( $10^5$  cells/well), but wells without TG will receive approximately one cell/well. Cells are incubated for 10-14 days and the colonies are characterized by either microscopy,  $^3\text{H}$ -thymidine incorporation or cell expansion (86). Furthermore, TG-resistant cells may be assayed for HPRT enzymatic activity, expression of surface markers and for genomic DNA changes.

Accordingly, variant frequencies (VF, autoradiographic method) and mutant frequencies (MF, cloning assay) have been determined in different populations, and it has been determined that both parameters increase with the age of the individuals (86,87). Several exposure situations also increase the parameters of VF and MF in the *HPRT* gene, such as accidental irradiation, radiotherapy and chemotherapy (84). Smoking significantly increases VF and MF in active smokers compared with former or never smokers, and an association was found between cotinine levels and the number of smoked cigarettes in this VF (87,88); however, this association has not always been found (85). For instance, *in utero* exposure to cigarette smoke was not associated with an increased MF in newborns (89). However, the study of the *in utero* exposure to environmental pollutants in a highly polluted Polish city showed an increased level of DNA adducts in the fetus in correlation with an augmented MF, an association that was not found in the mothers (90). Similarly, the occupational exposure to DE did not show an increased MF in the *HPRT* gene in bus mechanic maintenance workers, but there was a correlation between MF and adduct levels in the most heavily exposed individuals (91). The exposure to moderate and low environmental pollution, as well as the occupational exposure to PAHs, however, did not reflect a statistical association with *HPRT* MF (92). Consequently, *HPRT* mutation frequency is not considered a sensitive biomarker of exposure to genotoxicants, but it can be valuable in chemoprevention programs for individuals with a known exposure risk (85).

**Epigenetic biomarkers.** Epigenetics is the study of hereditary gene expression modifications that do not involve a DNA sequence change (93). Three main mechanisms of epigenetic control in mammalian cells have been described: 1) DNA methylation, 2) histone modifications, and 3) microRNA (miRNAs). It is well established that many environmental factors are able to modify the epigenome of an organism as an adaptive response. These

epigenomic modifications are persistent and, in some cases, impact future generations. Therefore, the epigenomic “imprinting” of an organism reflects its previous exposure to a given contaminant and its response capacity to environmental stressors (94).

There are some challenges for epigenetic investigations that studies need to overcome to draw confident conclusions. The main limitation in the study of environmental epigenetics is that modifications in the epigenome may be negligible but cumulative, and their manifestation could be evident only after a considerable period of time (95,96). However, the number of publications in environmental epigenetics is constantly growing but lags far behind in comparison with cancer epigenetics, for instance (97). The second challenge is the accessibility of the appropriate target tissue or even the cell type. It is well known that genomic DNA is practically identical in any cell type of an organism, but the epigenomic profile of each cell may be unique and constantly changing (97,98). Therefore, the precise selection of a target or a surrogate tissue, its availability, and even the separation and classification of specific cell types may be crucial for the success of the study.

**DNA methylation.** DNA methylation consists of the addition of a methyl group to the fifth carbon of a cytosine residue present in regions enriched with the dinucleotide CpG, known as “CpG islands”. This process is catalyzed by DNA methyltransferases (DNMT), enzymes that are either constitutively active (DNMT1) or inducible (DNMT3A and 3B). Some CpG islands are located in the promoter region of protein-coding genes, and their hypermethylation is generally associated with gene transcription suppression. Nevertheless, most of the CpG dinucleotides (90%) are located in repetitive transposable elements that are generally heavily methylated (99). DNA methylation is associated with natural processes, such as DNA imprinting, chromosome stability and X-chromosome inactivation, but in aberrant situations, it is associated with cancer and the silencing of tumor suppressor genes (100).

The evaluation of DNA methylation is possible from many different biological samples, such as urine, plasma, sputum, lymphocytes, buccal cells, saliva, and tissues (96), and some technological advances have been developed for the analysis of DNA methylation from very small amounts of a sample. Nevertheless, there is some debate about the usefulness of surrogate tissues, such as peripheral

blood, in the study of epigenetic modifications in target tissues (98). Several approaches are available for the study of gene-specific or genome-wide analysis of DNA methylation status. In the first type of procedures, the most popular method consists of the conversion of unmethylated cytosines to uracils using sodium bisulfite, leaving the methylated residues without any change. This technique is followed by different approaches to identify the specific methylated DNA region. PCR amplification with specific primers directed to the methylated DNA sequence, called methylation-specific PCR (MSP); combined bisulfite conversion restriction analysis (COBRA) that identifies restriction enzyme sites that have been preserved or lost after bisulfite conversion, and DNA sequencing by the Sanger method or pyrosequencing are some methodologies used for the identification of specific DNA-methylated regions (96,101).

Some methods for the identification of global DNA methylation content are high performance capillary electrophoresis (HPCE) and HPLC-MS after DNA digestion to single oligonucleotides (96,101). The evaluation of methylation levels of transposable highly repetitive sequences in DNA is another method to estimate genome-wide methylation levels. The multiple repetition elements Alu and LINE-1 (long interspersed nuclear element-1) are two well-characterized elements that normally are heavily methylated, and any decrease in their methylation degree indicates a change in the whole-genome methylation status (99). Likewise, different genome-wide methylation platforms are based on microarrays and combine different technologies, such as immunoprecipitation of 5-methylcytosine with specific antibodies (methylated DNA immunoprecipitation or MeDIP), methylation-sensitive restriction enzymes and PCR amplification, bisulfite treatment coupled with hybridization to microarrays, and sequencing, among others.

The modification of the DNA methylation profile in individuals exposed to environmental contaminants is the most studied epigenetic modification due to the relative stability of methylation, to the multiple technical approaches that are available for its study (98) and to the feasibility to preserve DNA samples in comparison to chromatin and RNA (97). Metals such as nickel, cadmium, lead and arsenic are recognized for their capacity to alter the methylation profile of DNA (102). Ambient air pollution is associated with the hypermethylation of the forkhead box transcription factor 3 (*FOXP3*)

and is involved in asthma pathogenesis (103,104); specifically, the exposure to particulate matter (PM 2.5), black carbon and sulfates produces global DNA hypomethylation (assessed by methylation levels of ALU and/or LINE1) (105,106) as well as aberrant methylation levels in the promoter of the inducible nitric oxide synthase (*iNOS*) (107-109). In mouse models acutely exposed to inhaled DEP or particulate air pollution, hypermethylation of different CpGs in the interferon gamma (IFN- $\gamma$ ) promoter and hypomethylation at one CpG island in the interleukin (*IL*)-4 promoter (110), as well as persistent hypermethylation of sperm DNA (111), were found, respectively.

The exposure to PAHs is also related to an increase in global methylation levels of DNA, to the hypermethylation of cytokine *IL*-6 and to *p53* hypomethylation in coke-oven workers (112). In neonates, PAH exposure induces the hypermethylation of 5'-CpG islands of acyl-CoA synthetase long-chain family member 3 (*ACSL3*) (113) and IFN- $\gamma$  (114) in white blood cells extracted from the umbilical cord. In firefighters, the level of dual specificity phosphatase 22 (*DUSP22*) promoter methylation decreases in relation to the years of service, an effect that is reproduced with BaP at low doses (115).

*Histone modifications.* The post-translational modification of the amino-terminal tail domains of histones is another important mechanism of epigenetic regulation. Histones are globular proteins that fold, organize and compact DNA in structural units called nucleosomes that form chromatin. The nucleosome is a histone octamer consisting of one H3-H4 tetramer and two H2A-H2B dimers, and the histone H1 acts as a linker (116).

Several mechanisms regulate histone function, and these proteins are highly dynamic actors in gene regulation. Histone modifications comprise methylation, acetylation, phosphorylation, ubiquitination, sumoylation, citrullination and ADP-ribosylation (95); enzymes such as histone acetyltransferases (HAT), deacetylases (HDAC), methyltransferases, and demethylases are involved in these processes. The methylation, acetylation and phosphorylation status of specific amino-terminal tail domains of histones defines chromatin structure and gene expression. In general terms, histone hyperacetylation is associated with loosely packed chromatin or euchromatin and gene transcription. On the other hand, hypoacetylated and hypermethylated histones generate condensed

chromatin or heterochromatin and are associated with methylated DNA and transcriptional silencing (101,116). Nevertheless, the “histone code hypothesis” indicates that specific histone modification patterns, present at specific residues, determine the overall activation or repression of gene transcription (116).

Several histone modifications have been analyzed in cell lines (117-119) and peripheral mononuclear cells (120), blood leukocytes (121) and respiratory epithelia (122) from different human populations. The methodologies applied for these studies include genome-wide and gene-specific approaches. The most accurate method for the identification of histone modifications is MS, but the combination of other methods such as HPLC, HPCE or Western blotting can also be applied to identify histone modifications globally (101). Recently, ELISA kits have been developed and are being used for the analysis of specific histone modifications (120,121). The most popular current method for the study of single gene-associated histone modifications is chromatin immunoprecipitation (ChIP) (117-119,122) that uses specific antibodies against histone chemical markers and a primer-specific PCR for a candidate gene. For the genome-wide study of histone modifications, ChIP-on-chip is a platform that allows the detection of patterns of histone modifications and combines the use of antibodies against histone modifications with a DNA array (101).

There is strong evidence that environmental factors lead to histone modification changes and, therefore, to gene transcription modulation. The most studied environmental stressors that modify histone patterns in different models are metals such as nickel, cobalt, arsenic and chromium. For instance, nickel is associated with many histone modifications in H2, H3 and H4 by methylation, acetylation, phosphorylation and ubiquitination, whereas arsenic is related to methylation modifications in H3 and acetylation in H4, and, finally, chromium and cobalt are associated with H3 methylation at different lysine residues. In a Chinese population occupationally exposed to nickel, elevated levels of H3K4me3 (histone 3, lysine 4 trimethylation) and reduced H3K9me2 (histone 3, lysine 9 dimethylation) were found in blood mononuclear cells (120). Moreover, in peripheral blood leukocytes from steel workers exposed to PM enriched in metal particles, two histone modifications associated with open actively transcribed chromatin, H3K4me2 (histone 3, lysine 4 dimethylation) and H3K9ac (histone 3, lysine 9

acetylation), were increased and correlated with the years of service (121). With respect to some environmental pollutants, DE particulate matter caused an overregulation of the pro-inflammatory mediator cyclooxygenase-2 (COX-2) via the hyperacetylation of histone H4 and recruitment of p300 HAT to the COX2 promoter and degradation of HDAC1 in a human bronchial epithelial cell line (BEAS-2B) (117). In addition, in A549 cells, PM10 exposure increased the levels of the pro-inflammatory cytokine IL-8 via an increase in H4 acetylation of the *IL-8* promoter region (118). Additionally, BaP and cigarette smoke condensate (CSC) exposures were associated with an increase in the transcriptionally active H3K4me3 and H3K9ac chromatin indicators in HeLa cells and normal human respiratory epithelia, respectively (119,122).

*MicroRNAs.* MicroRNAs (miRNAs) are short non-protein coding single-stranded RNAs that interfere with the translation of complementary mRNAs or induce their degradation (95). miRNAs are transcribed by RNA polymerase II as primary miRNAs (pri-miRNAs) containing a 5'-capping and a 3'-polyadenylation tail. In the nucleus, the pri-miRNA is processed by RNase III Drosha/DGCR8 into a precursor miRNA (pre-miRNA), which is then exported to the cytoplasm by exporting 5/RAN-GTP. The RNase III Dicer complex processes the pre-miRNA into a mature single-stranded miRNA of approximately 20 nucleotides in length, which is incorporated into the RISC (RNA-induced silencing complex). Mature miRNAs regulate mRNAs expression by two different mechanisms according to its complete or incomplete complementarity to the target mRNA. The complete complementarity leads to the degradation of the target mRNA; on the other hand, incomplete complementarity leads to translation inhibition (100).

The evaluation of miRNA levels as a molecular biomarker has many advantages:

- 1) miRNAs are considered less complex compared to proteins;
- 2) they do not accumulate modifications after their processing;
- 3) different molecular tools are available for their isolation, and
- 4) they can be easily and sensitively detected by PCR-based technologies.

Nevertheless, there are still some challenges to overcome before miRNAs can be used as good biomarkers of exposure. For instance, the technical



procedure should be standardized in terms of sample storage, collection and transportation, as well as miRNA extraction, detection, normalization, reproducibility, validation and analysis (123,124). The identification of miRNA targets is another limitation that has to be overcome to elucidate the biological function of specific miRNAs (124). According to their mechanisms of action, each miRNA may present incomplete complementarity with several target mRNAs and therefore regulate the expression of numerous transcripts and *vice versa*, as one mRNA can be modulated by many miRNAs. The available tools for the identification of potential miRNA targets comprise computational and experimental approaches (124).

The most widely used technique for miRNA detection is the miRNA microarray for genome-wide screening and real-time PCR for gene-specific approaches, but sequencing is also being used, albeit less frequently. miRNAs have been assessed in cell lines (125), human primary cell cultures (126-128), human peripheral blood leukocytes (129), tissues (122,130,131) and different body fluids (123,132,133). The latter surrogate samples have been widely used in oncology studies due to miRNA localization in microparticles and exosomes that confer high stability (123). Accordingly, serum, plasma, urine, amniotic fluid, and sputum, among others, can be used for miRNA analysis.

Some studies have highlighted the sensitivity of miRNAs to respond against environmental insults and their usefulness as powerful biomarkers of exposure and for biomonitoring and prevention. miRNA expression has been linked to exposure to environmental stressors, and altered miRNA levels have been associated with exposure to ambient particulate matter (127), DE and DEP (126,127), metals (129,133), cigarette smoke (122,125,134), asbestos (128), carbon black nanoparticles (131), ozone (132) and others.

Metals such as arsenic, cadmium, aluminum and lead alter miRNA expression (124,129). For instance, exposure to residual oil fly ash (ROFA), a fine particle product from oil combustion containing transition metals such as Ni, V and Fe, elicited cardiac dysfunction and altered miRNA expression levels in hypertensive rats (130). The study performed by Bollati, *et al.* (2010), in peripheral blood leukocytes from a population of steel workers exposed to metal-rich particulate matter also identified overexpression of two miRNAs related to oxidative stress and inflammation regulation

(miR-222 and miR-21) in exposed individuals. Furthermore, the expression of miR-222 was directly correlated with exposure to lead, and miR-146a expression was inversely correlated with lead and cadmium (129). Other upregulated miRNAs in workers exposed to metal-rich PM were miR-421, miR-146a, miR-29a and let-7g (133).

DE particles, likewise, were associated with the modification in expression levels of 197 out of 313 miRNAs identified in human airway epithelial cells, some of which are associated with the inflammatory response and tumorigenic processes (126). Human microRNA-375 is one of the miRNAs upregulated following exposure to DEP and ambient PM and possibly regulates the expression of the AhR receptor (127). Furthermore, BaP exposure upregulated the expression of miR-320 and miR-494 and was associated with cell cycle progression and tumorigenesis in murine bronchial epithelial cells (135); however, the *in vivo* BaP exposure does not significantly modify hepatic miRNA expression regardless of marked changes in mRNA transcription in mice (136). Other environmental pollutants, such as hexahydro-1,3,5-trinitro-1,3,5-triazine (RDX), caused modulation of several miRNAs associated with cancer and tumor suppression in mouse brain and liver (137).

### Susceptibility biomarkers

It is well documented that the development of adverse health effects by exposure to different environmental factors is determined by the susceptibility of each individual. These susceptibility factors are cataloged as genetic predisposition, age, gender and ethnicity (138). The balance between exposure time and dose with susceptibility factors determines individual biological response and the risk for disease development.

In terms of the influence of chemical pollutants on human health, the participation of genes encoding metabolic or detoxification enzymes plays a key role in the genetic susceptibility to xenobiotics; however, genes associated with DNA damage repair likewise predispose individuals to a susceptibility phenotype against toxicological insults. The biomarkers of susceptibility most frequently used are the genetic polymorphisms located in metabolic genes associated with phase I and II metabolizing enzymes.

### Genetic polymorphisms

Genetic polymorphisms are natural occurring variations in DNA sequence with a frequency equal to or higher than 1% in the general population



(139). A polymorphism may be located in coding or non-coding sequence, and they might be “silent” or “functional” if the polymorphism impacts the activity, stability and/or expression levels of a protein. Some of the effects of a polymorphism in the expression of a gene are: 1) Amino acid substitution, 2) duplication, 3) deletion, 4) protein variants, 5) modulation of the expression levels, and 6) modification of RNA splicing and stability (139).

Single nucleotide polymorphisms (SNP) are a case in point and consist of the substitution of a single base pair, resulting in variants of the same gene or alternative alleles (140). SNPs are the most frequent type of polymorphisms in humans, accounting for approximately 90% of them (140). SNP identification is possible from different human samples, and venous blood is the tissue sample of choice; however, specific blood cell populations are used, for example leukocytes (141,142), lymphocytes (143-145) and the mononuclear fraction (146), and tissues such as placenta (20), or even buccal cells (147,148) are also appropriate for genotyping. Several methods have been developed for their identification, with DNA sequencing being the gold standard (149). The most common method for SNP analysis uses restriction endonucleases in cases where the polymorphism creates or destroys a specific nucleotide recognition sequence for a restriction enzyme (restriction fragment length polymorphism or RFLP). Other useful methods are real-time PCR using TaqMan probes (13,148,150), and primer extension-based methods (145,151,152) (table 3).

SNPs are the polymorphisms most widely studied in terms of genetic susceptibility to environmental pollutants (table 4). The study of genetic polymorphisms present in enzymes associated with the biotransformation of toxicants has provided relevant susceptibility biomarkers in toxicology (153). The cytochrome P450 enzymes are the primary

proteins involved in phase I metabolism, and some members of this family are highly polymorphic. For instance, CYP2B6 is one the most polymorphic CYP members, with 102 allelic variants identified so far (15). Because CYPs are the first enzymatic barrier that responds to a xenobiotic exposure in the organisms, the study of genetic variations that may impact CYP expression levels, function and efficiency is crucial for the identification of individuals with altered enzymatic activity that may be predisposed to adverse health effects in the presence of environmental stressors.

*Phase I enzymes.* CYP1A1 polymorphisms are the most studied variants in terms of human genetic susceptibility (15). The variant CYP1A1\*2A (T3801C) in the 3' non-coding region, which generates an *Msp1* restriction site, has been linked to a variety of outcomes related to exposure to different types of environmental pollutants. The presence of this polymorphism is associated with an increased urinary 1-OHP concentration related to air pollution (12), PAH exposure (10) and light tobacco consumption (154). Individuals carrying the CYP1A1\*2A allele are predisposed to higher DNA adduct levels and an increased percent of aberrant cells when exposed to environmental tobacco smoke (ETS) (144). Likewise, in an Asian population, the presence of the *Msp1* polymorphism correlated with reduced birth weight following high PM10 exposure (155), oral cancer risk associated with tobacco smoking and chronic obstructive pulmonary disease (156).

Additionally, the variant CYP1A1\*2B or 2C (A2455G), which has an amino acid substitution of Ile462 to Val in exon 7, has been associated with higher 1-OHP (9,12,13), 2-NT (9), DNA damage (13) and PAH-DNA adducts (157) in high PAH or tobacco smoking populations, as well as reduced birth weight in pregnant women exposed to high PM10 levels (155). The interaction of smoking or

**Table 3.** Susceptibility biomarkers. Environmental compounds associated with genetic polymorphisms, techniques used for polymorphism analysis and surrogate tissues for analysis

Susceptibility biomarkers			
	Environmental compounds	Methods for identification	Types of samples
Polymorphisms	PAH	DNA sequencing	Venous blood
	Tobacco smoke	RFLP	Lymphocytes
	High PM exposure	Real time PCR-TaqMan	Leukocytes
		DNA microarrays	Placenta
		Primer extension-base technologies	Buccal cells

PAH: polycyclic aromatic hydrocarbons; PCR: polymerase chain reaction; PM: particulate matter; RFLP: restriction fragment length polymorphism

**Table 4.** Selected polymorphisms and associated health risk

Polymorphism	Associated health damage
Phase I enzymes <i>CYP1A1*2A</i> (T3801C, MspI)	Increased PAH metabolites, PAH-DNA adducts and percent of aberrant cells Reduced birth weight Chronic obstructive pulmonary disease Oral cancer risk
<i>CYP1A1*2B</i> (A2455G, I462V)	Increased PAH metabolites, PAH-DNA adducts and DNA damage Reduced birth weight Cervical and lung cancer risk
<i>CYP2E1</i> (RsaI)	Increased PAH metabolites and DNA adduct levels
<i>EPHX1</i> (T8668C, Y113H)	Increased PAH metabolites (HH homozygotes)
<i>EPHX1</i> (A15543G, H139R)	Increased DNA adduct levels (HH homozygotes, WT)
<i>AKR1C3*2</i> (Gln5His)	Lung cancer risk
Phase II enzymes	
<i>NAT2</i> rapid acetylators	Increased oxidative DNA damage Lung and breast cancer risk
<i>NAT2</i> slow acetylators	Increased PAH metabolites and DNA adduct levels Bladder, urothelial and breast cancer risk
<i>GSTM1</i> null	Increased PAH metabolites, DNA adduct and higher oxidative DNA damage Preterm delivery Lung cancer risk
<i>GSTT1</i> null	Acute leukemia Coronary artery disease Lung cancer risk
<i>GSTP1</i> (C3517T, A114V)	Increased PAH metabolites
DNA repair genes	
<i>XRCC1</i> R339Q	Malignant mesothelioma (asbestos exposure)
<i>ERCC1</i> N118N	
<i>XRCC3</i> T241M	
<i>OGG1</i> S326C	Higher oxidative DNA damage Lung cancer risk
<i>XPB23</i> K751Q	Higher levels of DNA breaks
<i>XPC</i> (PAT +)	Higher DNA adduct levels

*AKR*: aldo-keto reductase; *EPHX*: epoxide hydrolase; *ERCC*: excision repair cross-complementing; *GST*: glutathione S-transferase; *NAT*: N-acetyltransferase; *OGG1*: 8-oxo-guanine-DNA glycosylase/AP lyase; PAH: polycyclic aromatic hydrocarbons; *XPC* (PAT+): *Xeroderma pigmentosum-C* *polyAT* insertion of 83 bp in intron 9; *XPB23*: exon 23 variant of the *Xeroderma pigmentosum-D*; *XRCC*: X-Ray cross-complementing

ETS with the *CYP1A1* (Ile462Val) polymorphisms and their association with adverse health effects is controversial. Because, while cervical cancer development has shown a significant association (142), others like lung cancer (150) and asthma development in children (158) did not. Both variants, *CYP1A1\*2A* and *CYP1A1\*2B*, have been associated with a higher enzymatic induction and/or enhanced catalytic activity and are correlated with an increased risk of several types of cancer; therefore, these polymorphisms are useful susceptibility biomarkers for PAH exposure and carcinogenesis (15).

In addition, other family members of the CYP450s have been reported as polymorphic and have shown interactions with environmental pollutants. Among them, *CYP2E1*, a cytochrome associated with the metabolism of alcohol, acetone, benzene,

toluene, styrene and nitrosamines, has at least 34 variants (159). The *CYP2E1* *RsaI* polymorphism is associated with increased urinary levels of 1- and 2-NTs (14,160), and 1-OHP (14), as well as with higher DNA adduct levels in human lung tissue (161) from PAH-exposed individuals. Nevertheless, some studies did not find a correlation of this polymorphism with increased PAH metabolic products (9,16,162).

Other phase I polymorphic enzymes relevant to environmental toxicant metabolism are epoxide hydrolase 1 (*EPHX1*) and *AKRs*. *EPHX1*, which encodes a protein that catalyzes the addition of water to epoxides for their detoxification, presents two polymorphic variants, T8668C (Y113H) and A15543G (H139R). The former polymorphism has been associated with high OHP urinary levels, whereas the latter was associated with low

OHPhe levels in German workers exposed to PAH in homozygous carriers (143). Accordingly, the lower levels of PAH metabolites observed in the homozygous 139RR mutant can be correlated with the higher DNA adducts levels observed in lymphocytes from EPHX1 139HH (WT) individuals (163).

From the AKR family, the variant *AKR1C3*\*2 (Gln5His) has been associated with increased lung cancer susceptibility in a Chinese population exposed to PAH-rich smoky coals (164); nevertheless, there was an inverse correlation between this polymorphism and bladder cancer risk in Caucasians (165) and no relation with mRNA levels of different AKRs (*AKR1A1*, *AKR1C1-AKR1C3*) in smokers (52).

*Phase II enzymes.* NATs (NAT1 and NAT2), the key enzymes in the conjugation of arylamine compounds, display a high inter-individual variability in their capability to acetylate certain drugs, leading to the classification of individuals as fast, slow and intermediate “metabolizers” (77,166). Thirty-six *NAT2* polymorphic variants have been described in humans (166). *NAT2*\*4, the wild-type allele, is the fast acetylation variant, although variants such as *NAT2*\*12 and *NAT2*\*13 are also associated with the rapid acetylator phenotype in some populations. Several alleles are associated with the slow acetylator phenotype, and the most common ones are *NAT2*\*5, *NAT2*\*6, *NAT2*\*7 and *NAT2*\*14, which contain one or more of the G191A, T341C, A434C and G590A polymorphisms (166). The slow acetylator genotype has been associated with a higher risk of bladder cancer by exposure to arylamine pollutants from tobacco smoke, occupational exposure to benzidine-based dyes, or by alcohol intake; higher risk of urothelial cancer of the renal pelvis in dinitrotoluene-exposed workers; and an increased risk of breast cancer in postmenopausal smoking women and in non-smoker women exposed to ETS for long periods (148,153,166). Likewise, the *NAT2* slow genotype has been associated with higher DNA adduct levels (21) and higher urinary PAH metabolites (167) in occupational and non-occupational PAH-exposed populations. On the other hand, the *NAT2* fast acetylator genotype was associated with higher 8-OHdG levels by tobacco smoking and PAH exposure (16) and predisposed carriers to lung cancer (168) and breast cancer in the case of long-time heavy smoker women (148). *NAT1* allelic variants have also been described (26 alleles in humans), but their functional effects have been

less studied; nevertheless, the variant *NAT1*\*10 (associated with a fast acetylation phenotype) was related to an increased risk of bladder cancer in smokers (166).

Different classes of GST polymorphisms are associated with increased levels of health risks according to the modification of several biomarkers of exposure and effect to environmental pollutants. From them, the *GSTM* polymorphism *GSTM1*\*0, which results in a deleted allele of high prevalence between populations, is associated with higher levels of urinary 1-OHP (8,13), 1- and 2-NT (14,160), 8-OHdG (169-171) and DNA adduct levels (17,20,167,172,173). Moreover, it is considered a risk factor for preterm delivery in association with exposure to PM10 (174). Conversely, the *GSTT* null mutant is neither associated with increased urinary 1-OHP levels (12,13) nor higher urinary 8-OHdG (169) or DNA adduct concentrations (163), but it has been linked to a higher predisposition for acute leukemia in Chinese children living in proximity to industrial plants (151) and coronary artery disease in smokers (141). As for the *GSTP* polymorphisms, the A2627G genotype (I105V) is related to an increase (162) or decrease in the urinary 1-OHP levels (12) in occupational exposed workers. Notwithstanding, the genotype *GSTP1* C5317T (A114V) was related to higher urinary 1-OHP and OHPhe in German workers with high PAH exposures (143).

*DNA repair gene polymorphisms.* Polymorphisms present in DNA repair genes are also considered variability factors that predispose individuals to health outcomes in high-risk situations of toxicological exposure. High asbestos pollution, for instance, is associated with malignant mesothelioma, and the individuals who carry the variants 399Q of *XRCC1*, N118N of *ERCC1* and 241T of *XRCC3* are at increased risk for disease development (145,152). Other DNA repair gene polymorphic variants reported as susceptibility factors are: 8-oxo-guanine-DNA glycosylase/AP lyase (*hOGG1*) 326C, that correlates with higher oxidative DNA damage in bus drivers exposed to PAHs and volatile compounds (146) and with increased risk for lung cancer by indoor PAH exposure (164); exon 23 variant of the *Xeroderma pigmentosum-D* (*XPB*) A35931C Lys751Gln, that induces higher levels of DNA strand breaks in the aforementioned population (146), and *Xeroderma pigmentosum-C* (*XPC*) *polyAT* insertion of 83 bp in intron 9 (*PAT+*), that associates with higher anti-BPDE-DNA adducts under low (172) and high levels of PAH exposure (173).

*Gene-gene interactions.* Finally, multiple gene-gene interactions with environmental pollutants have been observed in individuals carrying more than one polymorphism, leading to an extremely complex scenario of genetic susceptibility. Genetic interactions are observed with the *CYP1A1\*2A* (*MspI*) polymorphism and a variety of phase II enzymes. The interaction of the *CYP1A1\*2* polymorphism with the *GSTM1* null, *GSTP1* (Ile/Val) and the *EPHX* "slow" variants results in higher DNA adduct levels (24,163). The combination of the *GSTM1* null with the *NAT2* slow acetylator genotype correlates with higher urinary PAH metabolites (167) as well as increased CAs (175) in individuals exposed to rich PAH environments. Likewise, an increased risk for lung and breast cancer has been found in individuals carrying the *GSTM1* null, *GSTT1* null and the *NAT2* rapid acetylator genotypes (176,177).

## Conclusion

In the present review, we summarized the main and extensively used molecular biomarkers applied to environmental monitoring for human risk assessment. Some of them are highly associated with the exposure condition, while others are not statistically significant or display contradictory results. Despite the presence of some discrepancies, there is cumulative evidence that environmental pollution indeed affects several molecular markers, including all three biomarker types: exposure, effect and susceptibility (table 1).

The usefulness of biomarkers is of great importance for studies on risk evaluation and impact to health because they provide a platform to offer solutions leading to the amelioration of contamination and the adverse effects that pollutants produce. Equally important is to bear in mind that the response will depend on an individual's genetic background, thus the study of polymorphisms in human populations will also become useful for accurate interpretations. However, some of the issues remain to be discussed in depth, such as the selection of the most appropriate surrogate tissues for the study to produce reliable results with the least invasive methods for sample collection.

As peripheral blood is the surrogate of choice for most analyses, the acquisition of precise and correct results is still debatable, as blood is neither the first contact organ with xenobiotics nor the location of pollutant metabolism. Therefore, the exploration of new surrogate types of cells or tissues should consider the collection methods. The study

design should also take into account the size of the sample required, its susceptibility to cancer and the interaction of the surrogate with the xenobiotic or its metabolites.

Therefore, with the development of new technologies and the discovery of novel biomarkers, risk assessment evaluation of pollutant exposure should comprise a set of biomarkers that includes diverse aspects of the individual's toxicological response to integrate the data to dissect the mechanisms of action of xenobiotics and target organs and to predict adverse health effects.

## Conflict of interest

The authors declare that they have no conflicts of interest.

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