

Family history and socioeconomic risk factors for non-syndromic cleft lip and palate: A matched case-control study in a less developed country

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Introduction. From an epidemiological point of view, non-syndromic orofacial clefts are the most common oral congenital deformities worldwide.

Objective. Family histories were traced and socioeconomic risk factors were identified for non-syndromic cleft lip with or without cleft palate.

Material and methods. A case-control study was carried out with 208 cases of non-syndromic cleft lip with or without cleft palate, and matched by age and sex with 416 controls. Cases were patients attending a referral clinic from 2002 through 2004 in Campeche, Mexico. A questionnaire was administered to collect sociodemographic and socioeconomic variables as well as familial background relevant to non-syndromic cleft lip with or without cleft palate. Conditional logistic regression models were used; adjusted odds ratios and 95% confidence intervals were calculated.

Results. In the multivariate model, the following risk factors were identified: 1) low socioeconomic status; 2) birth in the southern region of Campeche state; 3) home delivery or delivery in a publicly funded hospital; 4) occurrence of prior non-syndromic cleft lip with or without cleft palate cases in the father's or mother's family; 5) having a sibling with non-syndromic cleft lip with or without cleft palate; 6) the proband having another malformation, and 7) a history of infections during pregnancy. Prenatal care consisting of vitamin supplementation was a protective factor for non-syndromic cleft lip with or without cleft palate (odds ratio=0.29).

Conclusions. A "social gradient in health" was seen to link oral malformation with diet components, and several socioeconomic and socio-demographic factors broadly encompassed in low socioeconomic status. Further characterization of risk factors will guide the assemblage of a pro-active counseling and prevention program for families at risk for non-syndromic cleft lip and cleft palate.

Key words: Cleft lip, cleft palate, epidemiology, risk factors, socioeconomic factors, folic acid, Mexico.

Factores de riesgo hereditarios y socioeconómicos para labio o paladar hendido no asociados a un síndrome en México: estudio de casos y controles pareado

Introducción. Desde el punto de vista epidemiológico, las hendiduras faciales son las deformidades orales más comunes alrededor del mundo.

Objetivo. Identificar los factores de riesgo hereditarios y socioeconómicos relacionados con la presencia de labio o paladar hendido no asociados a un síndrome.

Materiales y métodos. Se hizo un estudio de casos y controles en el que se incluyeron 208 casos con diagnóstico de labio, paladar hendido o ambos no asociados a un síndrome, los cuales fueron pareados por edad y sexo con 416 controles. Se incluyeron todos los pacientes quirúrgicos atendidos durante el periodo 2002-2004 en el programa estatal de labio o paladar hendido de Campeche, México. Se aplicó un cuestionario en el que se recogió información sobre variables sociodemográficas y socioeconómicas, así como sobre antecedentes hereditarios de labio o paladar hendido no asociados a un síndrome en la familia. Debido a que el diseño fue pareado, el análisis se hizo con regresión logística condicionada.

Resultados. En el modelo multivariado para labio o paladar hendido no asociado a un síndrome se identificaron de forma significativa ($p < 0,05$) los siguientes factores de riesgo: nivel socioeconómico

bajo (razón de momios, RM=2,02), nacimiento en el sur del estado (RM=3,96), nacimiento en casa (RM=2,51) o nacimiento en hospital público (RM=4,08), antecedentes heredofamiliares paternos (RM=5,38), antecedentes heredofamiliares maternos (RM=4,11), tener otro hijo con labio o paladar hendido en la familia (RM=46,02), presentar algún otro defecto congénito asociado (RM=8,20) e infección en el embarazo (RM=2,90), y como factor protector, el cuidado prenatal y el uso de vitaminas (RM=0,29).

Conclusiones. El mayor riesgo en nuestra muestra para labio, paladar hendido o ambos, no asociados a un síndrome, radica en las variables relacionadas con los antecedentes familiares y hereditarios, y las indicadoras de la posición socioeconómica. Se observó un efecto protector del manejo prenatal con vitaminas.

Palabras clave: labio leporino, fisura del paladar, epidemiología, factores de riesgo, factores socioeconómicos, ácido fólico, México.

From an epidemiological perspective, non-syndromic orofacial clefts are the most common orofacial birth defects worldwide, and occur in 1 per 500 to 2,500 births depending on ancestry, geographic residential location, maternal age and prenatal exposures, and socioeconomic status. Their incidence varies markedly in terms of geographical location, being more common among Asians than in Caucasians and least common among Afro-Caribbean populations. In addition to the psychological, social and functional sequelae, this condition imposes an economic burden for families and health systems: treatment requires a multidisciplinary team, and a lengthy period of surgical and non-surgical intervention (1).

Cleft lip and/or palate is the second most common congenital malformation in Mexico. Between 2,300 and 2,600 new patients are encountered every year with this problem (2,3). Other estimates place the rate in Mexico at 1.7 (95% CI 1,3-2.2) per 1,000 live births for cleft lip, with or without cleft palate, with the following incidence for each malformation: cleft lip 0.1-0.5, cleft lip with cleft palate 0.9-1.7, and cleft palate incidence 0.1-0.4 (4).

Although a developmental defect may occur at any time during gestation, only perturbations that occur during embryogenesis can produce major anatomical malformations of structures that develop from the neural tube and the neural crest. Defects arising from them are the most common and the most devastating in terms of mortality and morbidity, stillbirths, and spontaneous abortions. These include neural tube closure defects such

as spina bifida, orofacial defects, and conotruncal heart defects (5).

Elevation and growth of palatal shelves is mostly driven by changes in the mesenchymal stroma, which is derived largely from neural crest cells that have migrated from the neural tube region into the craniofacial area (6). Past studies have identified some variables associated with cleft lip and/or palate. Vitamin supplements during pregnancy (especially folic acid with or without vitamins) appear to play an important role in non-syndromic cleft lip and/or palate prevention; other micronutrients have also been implicated as protective factors in orofacial clefts such as B₁, B₆, myo-inositol, zinc, iron and riboflavin (7-9).

However, other diverse conditions and maternal diseases (chronic or infectious, during or before pregnancy) are considered risk factors for cleft lip and/or palate. Some of these are influenza, common cold, orofacial herpes, gastroenteritis, sinusitis, bronchitis, epilepsy and angina pectoris (10), diabetes (11) and obesity (12). Additional reported factors are exposure to teratogenic agents (13), nicotine poisoning (14), prescription drug use during pregnancy (such as amoxicillin, phenytoin, oxprenolol, thiethylperazine, oxytetracycline, and carbamazepine) (15), and exposure to organic solvents (16).

Recent studies and reviews (17-20) identified genes that may play an important role in the etiology of cleft lip and/or palate, directly or through modifying the effect of environmental agents. Although several socio-demographic characteristics already have been identified as risk factors--such as father's and mother's age, sex, or even marital status (11,21,22), little information exists about the role of socioeconomic status (23-25).

In Mexico, only one study has been published showing that socioeconomic status is associated with cleft lip and/or palate occurrence; the authors,

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using several socioeconomic status indicators, observed an inverse dose-response relationship between cleft lip and/or palate and socioeconomic status (26). The lack of attention to these aspects is not surprising. The biomedical model has dominated dental research, focusing on the search of individual risk factors from a clinical and epidemiological perspective. The sparse evidence published on hypothesized links to social determinants of health has suggested that cleft lip and/or palate prevalence holds a negative gradient with schooling level of the parents (22) and increases with the experience of poverty (23).

Most research literature has reported findings and associations pertinent to industrialized countries, occurring in environments supporting unhindered access to largely equitable and appropriately established health services. In the current study, family histories were examined and socioeconomic risk factors were identified for non-syndromic cleft lip with or without cleft palate in an environment offering less sophisticated health systems, less equitable social structures, and living conditions more characteristic of a less developed country.

Material and methods

This study was approved by the Ethical and Research Committee of the School of Medicine of the Universidad Autónoma de Campeche. Assent was given by each participants or written consent provided by their parent or guardian.

Campeche is one of 32 states in Mexico, located in the southeast part of the country on the Gulf of Mexico. According to the state marginalization index, Campeche is classified as a state with high levels of poverty. In 2005, Campeche had a population of 754,730. Its main economic activity is oil extraction that accrues 60% of the national production. Other economic activities include farming, fishing, manufacturing, and tourism.

A case-control study was performed in a publicly funded hospital with a maxillo-facial specialty clinic where the State Cleft Lip and/or Palate Program is offered. The referral clinic for cleft lip and/or palate receives cases from across the state and implements treatment plans for patients, including surgical management. The patients included in the current study had a cleft lip and/or palate diagnosis registered in the maxillo-facial specialty clinic from September 2002 to August 2004 for surgical treatment or other management. All of the selected 208 cases were diagnosed as non-syndromic.

Cases were matched by age and sex with two controls selected arbitrarily from patients who visited the hospital for other reasons and did not have diagnostic or clinical evidence of cleft lip and/or palate or its sequelae (information obtained from hospital administrative records). Their status was subsequently confirmed by directly questioning the patient or the parent/guardian, and also through clinical examination. The 416 controls selected were not brothers, sisters, or cousins of the cases.

Variables and data collection

Variables were divided in four groups:

- a) sociodemographic (age of father and mother and patient's birth order number among siblings);
- b) socioeconomic (socioeconomic status, geographic region within the state where the participant was born rural or urban area of residence, and location of delivery of child);
- c) family history background (whether mother and/or father had personal or family history of cleft lip and/or palate, sibling with cleft lip and/or palate, having any condition associated with cleft lip and/or palate in the proband or a sibling, and mother's diabetes pregnancy history), and
- d) gynecologic-obstetric and perinatal variables (viral or bacterial vaginal or urinary tract infections during pregnancy, type of birth delivery, hormonal contraceptive use, prescription drug use, prenatal care and vitamin supplement use, single or twin pregnancy, and history of abortions and pre-eclampsia).

A maxillofacial surgeon diagnosed cleft lip and/or palate cases based on the Kernahan classification (27). Independent variables were determined through a questionnaire administered to a parent. The Bronfman Index (28) was used to evaluate socioeconomic status. This index has been validated in Mexico and other Latin American countries for epidemiological studies, and includes dwelling crowding, housing conditions and facilities, and maximum educational attainment of the head of the household. According to the Bronfman Index distribution, participants were stratified in high, medium, and low socioeconomic status.

Statistical analyses

For the univariate analysis, central tendency and dispersion measures were calculated for continuous variables as well as frequencies and percentages for categorical variables. Conditional

logistic regression models, reported as odds ratio (OR) and 95% confidence intervals (CI95%), were used in the bivariate and multivariate analyses with case or control status as dependent variable. We chose this model due to the matched design of the study. Variables with a p value <0.20 in the bivariate analyses were used in the conformation of final model (29). The Variance Inflation Factor (VIF) test was used to account for and, if necessary, to avoid multicollineality between independent variables. Additionally, we used score test for trend of odds to demonstrate a monotonic relationship between the response variable and the categorized exposure variable. All analyses were undertaken in Stata 8.2® (30).

Results

Of the 208 cases, 81.3% ($n=169$) had cleft lip with cleft palate, distributed as follows: 36.1% ($n=75$) with left cleft lip and/or palate, 25.0% ($n=52$) with bilateral cleft lip and/or palate, and 20.2% ($n=42$) with right cleft lip and/or palate. Secondary cleft palate percentage was 6.3% ($n=13$), followed by

unilateral right cleft lip with 4.3% ($n=9$). Finally, 8.1% ($n=17$) had other types of cleft. Table 1 shows the distribution of sociodemographic and socioeconomic characteristics for cases and controls, with crude odds ratio estimates.

The average age was the same for cases and controls, 10.7 ± 6.7 and 10.7 ± 6.7 years, respectively. Sex was distributed equally among cases and controls (61.1% were men and 38.9% women) – indicating that matching was appropriate. No statistically significant difference was observed ($p>0.05$) on parental ages, but controls were more likely to be older siblings (within family birth order) than cases ($p<0.05$) (table 1).

Regarding socioeconomic variables, cases were more likely to have low socioeconomic status than middle or high socioeconomic status ($p<0.01$), to live in a rural area ($p<0.001$), having been born in the southern region of Campeche state ($p<0.001$), or that they had been born at home or in a publicly funded hospital—in contrast to more affluent medical insurance/treatment options.

Table 1. Distribution and raw odds ratio (OR) for sociodemographic and socioeconomic variables for cleft lip and/or palate

Variable	Controls	Cases	OR (CI 95%)	p value
Mother's age when pregnant (mean, yr)	24.88 ± 6.66	24.99 ± 6.53	1.00 (0.98 – 1.03)	0.847
Father's age when pregnant (mean, yr)	27.93 ± 8.21	28.83 ± 8.14	1.01 (0.99 – 1.03)	0.238
Order of cleft lip and/or palate birth in family †				
First or second (number, percentage)	290 (69.7)	120 (57.7)	1*	
Third or fourth (number, percentage)	88 (21.2)	56 (26.9)	1.57 (1.05 – 2.36)	0.029
Fifth or more (number, percentage)	38 (9.1)	32 (15.4)	2.14 (1.25 – 3.67)	0.006
Socioeconomic status ‡				
Low (number, percentage)	60 (14.4)	75 (36.0)	4.49 (2.78 – 7.24)	0.009
Medium (number, percentage)	154 (37.0)	74 (35.6)	1.77 (1.16 – 2.71)	0.000
High (number, percentage)	202 (48.6)	59 (28.4)	1*	
Geographic area				
Urban (number, percentage)	336 (80.8)	121 (58.2)	1*	
Rural (number, percentage)	80 (19.2)	87 (41.8)	2.91 (2.00 – 4.22)	0.000
Birth region				
Northern (number, percentage)	338 (81.2)	119 (57.2)	1*	
Southern (number, percentage)	37 (8.9)	69 (33.2)	5.10 (3.19 – 8.16)	0.000
Another state in Mexico, not Campeche (number, percentage)	41 (9.9)	20 (9.6)	1.38 (0.77 – 2.48)	0.277
Institution/location of birth				
Home (number, percentage)	72 (17.3)	71 (34.3)	6.17 (3.38 – 11.28)	0.000
Publicly funded hospital (number, percentage)	134 (32.2)	101 (48.8)	4.53 (2.66 – 7.71)	0.000
IMSS (3d party insurance, private sector) (number, percentage)	125 (30.0)	26 (12.6)	1*	
ISSSTE (3d party insurance, public sector) (number, percentage)	14 (3.4)	0 (0.0)	1*	
Private care by physician, out-of-pocket funding (number, percentage)	71 (17.1)	9 (4.3)	0.73 (0.31 – 1.71)	0.466

* Reference category, † score test for trend of odds: $p<0.01$, ‡ score test for trend of odds: $p<0.0001$,

HM: Health Ministry

IMSS: Instituto Mexicano del Seguro Social (3d party insurance, private sector)

ISSSTE: Instituto de Seguridad y Servicios Sociales de los Trabajadores del Estado (3d party insurance, public sector)

Table 2 shows variables related to the family history background, as well as conditioned bivariate logistic regression analyses. Having a sibling with cleft lip and/or palate was a variable very strongly associated with the cleft lip and/or palate condition in the proband (OR=40.0; $p<0.001$). Although a cleft lip and/or palate background for the father or mother had a strong effect on the presence of cleft lip and/or palate in the proband ($p<0.001$), this condition was also more likely (OR=6.00) if another family history condition was concurrently identified. Maternal diabetes type 2 self-report ($p>0.05$) did not differ between cases and controls, whereas having any other child with any conditions different from cleft lip and/or palate approached marginal significance ($p=0.089$).

Table 3 shows descriptive and bivariate results related to gynecological and perinatal variables. Infection during pregnancy (OR=2.32) was a risk factor for cleft lip and/or palate, whereas being born by c-section (OR=0.52) and having prenatal care and taking vitamins during pregnancy (OR=0.20) were protective factors against cleft lip and/or palate. Four variables that did not differ ($p>0.05$) between cases and controls were the following: vaginal/urinary tract infection during pregnancy, use of hormonal contraceptives, number of abortions, drug use during pregnancy, and type of delivery.

Occurrence of disease during pregnancy and pre-eclampsia approached marginal significance ($p<0.10$).

Three models were generated as a first step in the multivariate analysis, one for each variable group. These results are shown in table 4. Table 5 presents the results of the conditional multivariate logistic regression analysis, showing that people of low socioeconomic status had higher risk of cleft lip and/or palate (OR=2.02; CI95%=1.14 – 3.57) than people with medium or high socioeconomic level. People born in the southern region of Campeche presented almost four times (OR=3.96; CI95%=2.09 – 7.50) the risk for cleft lip and/or palate compared to those born in the northern region.

The location or institution where the delivery had taken place (another variable that can serve as a proxy for socioeconomic position) was related to cleft lip and/or palate: babies born at home (OR=2.51; CI95%=1.14 – 5.52) or in a publicly funded hospital (OR=4.08; CI95%=2.16 – 7.71) had higher cleft lip and/or palate risk than those born in a hospital funded by third-party payment schemes or personal funds.

A strong association characterized family history variables. Prior experiences of the father (OR=5.38; CI95%=2.11 – 13.73) and mother (OR=4.11;

Table 2. Features and crude odd ratios for family history background for cleft lip and/or palate

Variable	Controls	Cases	OR (CI 95%)	p value
Family history background, father				
No	405 (97.4)	173 (83.2)	1*	
Yes	11 (2.6)	35 (16.8)	6.86 (3.39 – 13.87)	0.000
Family history background, mother				
No	402 (96.6)	179 (86.1)	1*	
Yes	14 (3.4)	29 (13.9)	4.61 (2.34 – 9.06)	0.000
Sibling with cleft lip and/or palate				
No	415 (99.8)	188 (90.4)	1*	
Yes	1 (0.2)	20 (9.6)	40.0 (5.37 – 298.0)	0.000
Any other family history background defect				
No	408 (98.1)	184 (88.5)	1*	
Yes	8 (1.9)	24 (11.5)	6.00 (2.70 – 13.36)	0.000
Sibling with any congenital defect (no cleft lip and/or palate)				
No	412 (99.0)	202 (97.1)	1*	
Yes	4 (1.0)	6 (2.9)	3.00 (0.85 – 10.63)	0.089
Diabetes mellitus, mother				
No	414 (99.5)	206 (99.0)	1*	
Yes	2 (0.5)	2 (1.0)	2.00 (0.28 – 14.20)	0.488

* Reference category

Table 3. Features and crude odd ratios for gynecologic-obstetric variables for cleft lip and/or palate

Variables	Controls	Cases	OR (CI 95%)	p value
Vaginal infections during pregnancy				
No	374 (89.9)	193 (92.8)	1*	
Yes	42 (10.1)	15 (7.2)	0.66 (0.34 – 1.27)	0.214
Viral infections during pregnancy				
No	409 (98.3)	199 (95.7)		
Yes	7 (1.7)	9 (4.3)	2.79 (0.98 – 7.91)	0.054
Bacterial infections during pregnancy				
No	396 (95.2)	186 (89.9)	1*	
Yes	20 (4.8)	21 (10.1)	2.32 (1.20 – 4.49)	0.013
Delivery type				
Vaginal delivery	287 (69.0)	166 (79.8)	1*	
Cesarean section	129 (31.1)	42 (20.2)	0.52 (0.34 – 0.79)	0.002
Hormonal contraceptives				
No use	340 (81.7)	181 (87.0)	1*	
Oral	36 (8.7)	12 (5.8)	0.62 (0.32 – 1.23)	0.172
Intramuscular	14 (3.4)	7 (3.4)	0.94 (0.37 – 2.40)	0.903
Patch	26 (6.2)	8 (3.8)	0.55 (0.24 – 1.28)	0.167
Drug administration during pregnancy				
No	359 (86.3)	170 (81.7)	1*	
Yes	57 (13.7)	38 (18.3)	1.45 (0.91 – 2.33)	0.120
Prenatal care and vitamins				
No	87 (20.9)	101 (48.6)	1*	
Yes	329 (79.1)	107 (51.4)	0.20 (0.13 – 0.31)	0.000
Pregnancy type				
Only one	408 (98.1)	200 (96.1)	1*	
Twins	8 (1.9)	8 (3.9)	2.86 (0.81 – 10.05)	0.101
Prior abortions				
No	357 (85.8)	172 (82.7)	1*	
Yes	59 (14.2)	36 (17.3)	1.28 (0.80 – 2.03)	0.299
Pre-eclampsia				
No	371 (89.2)	194 (93.3)	1*	
Yes	45 (10.8)	14 (6.7)	0.58 (0.30 – 1.09)	0.092

* Reference category

CI95%=1.70 – 9.96) were strong predictors of having cleft lip and/or palate. Presence of a sibling with cleft lip and/or palate was a very strong risk factor (OR=46.02; CI95%=5.16 – 410.49) for cleft lip and/or palate. Persons who also had other congenital defect(s) had 8 times higher probability of having cleft lip and/or palate than those who did not have any other defect.

Among the gynecologic-obstetric and perinatal variables, bacterial infection during pregnancy was a risk factor for cleft lip and/or palate (OR=2.90; CI 95%=1.21 – 6.91), while availability of prenatal care and vitamin supplement use were protective factors against cleft lip and/or palate (OR=0.29; CI95%=0.15 – 0.56).

Discussion

Due to its impact on quality of life and function, as well as economic and clinical impacts over many years,

orofacial defects are undoubtedly an important oral health issue. The present study established some of the most important factors related to cleft lip and/or palate in a Mexican population. This is a first account concerning the factors that seem to modify cleft lip and/or palate presentations and risk. Diverse studies on cleft lip and/or palate and other congenital malformations have been undertaken in Latin America, in particular, the productive output from the ECLAMC group (The Latin American Study of Congenital Malformations). Several of the current results were similar to reports from this pioneer group (31-33).

During the 1990's the importance was recognized of prenatal dietary folate for prevention of neural tube defects (34). Folate is a one-carbon donor; as such, it is involved in the biosynthesis of purines and pyrimidines and in homocysteine remethylation, which produces methyl groups for methylation of

Table 4. Conditional multivariate logistic regression analysis between cleft lip and/or palate cases and controls, adjusted for each group of independent variables

	OR (CI 95%)	p value
Model 1. Socioeconomic features		
Socioeconomic status		
Low	1.90 (1.15 – 3.14)	0.012
Medium and high	1*	
Geographic area		
Urban	1*	
Rural	1.49 (0.93 – 2.37)	0.096
Birth region		
Northern	1*	
Southern	3.90 (2.30 – 6.60)	0.000
Another state in Mexico, not Campeche	1.13 (0.59 – 2.19)	0.710
Institution/location of birth		
Home	3.05 (1.55 – 5.97)	0.001
Publicly funded hospital	3.90 (2.21 – 6.89)	0.000
IMSS and ISSSTE (3rd party insurance, private and public sectors)	1*	0.633
Private care by physician, out-of-pocket funding	0.81 (0.33 – 1.96)	
Model 2. Familial background		
Family history background, father		
No	1*	
Yes	5.21 (2.45 – 11.10)	0.000
Family history background, mother		
No	1*	
Yes	4.17 (2.00 – 8.68)	0.000
Sibling with cleft lip and/or palate		
No	1*	
Yes	30.00 (3.84 – 234.19)	0.001
Any other family history background defect (no cleft lip and/or palate)		
No	1*	
Yes	6.73 (2.79 – 16.22)	0.000
Model 3. Gyneco-obstetric features		
Viral infections during pregnancy		
No	1*	
Yes	4.64 (1.44 – 14.97)	0.010
Bacterial infections during pregnancy		
No	1*	
Yes	2.40 (1.15 – 5.00)	0.019
Prenatal control and vitamins		
No	1*	
Yes	0.17 (0.10 – 0.27)	0.000

* Reference category

DNA, proteins, and lipids (8). Whether folate can regulate directly gene expression is unknown, although several vitamins are known to do so by interacting with a nuclear receptors family of transcription factors, for example, retinoids and vitamin D (35). Folate may also regulate the expression of several essential genes for cellular multiplication and differentiation during embryogenesis, especially those involved in palate and lip formation. Although some controversy

surrounds studies that have or have not found a risk reduction in cleft lip and/or palate and other types of congenital anomalies (7-9,31,36), it is indisputable that the use of multivitamins (containing principally folic acid) during the prenatal period is an effective public health measure to prevent malformations.

As in the current study, others have found some differences linked to physical location and cleft lip and/or palate risk (21). This finding may be related to parental economic activity and their habitation

Table 5. Final multivariate model with odds ratios adjusted for cleft lip and/or palate with relevant independent variables

Variables	OR (CI 95%)	p value
Socioeconomic status		
Low	2.02 (1.14 – 3.57)	0.016
Medium and high	1*	
Birth region		
Northern	1*	
Southern	3.96 (2.09 – 7.50)	0.000
Another state in Mexico, not Campeche	1.19 (0.54 – 2.61)	0.661
Institution/location of birth		
Home	2.51 (1.14 – 5.52)	0.022
Publicly funded hospital	4.08 (2.16 – 7.71)	0.000
Private care by physician, out-of-pocket funding, or 3d party insurance (private and public sectors)	1*	
Family history background, father		
No	1*	
Yes	5.38 (2.11 – 13.73)	0.000
Family history background, mother		
No	1*	
Yes	4.11 (1.70 – 9.96)	0.002
Sibling with cleft lip and/or palate		
No	1*	
Yes	46.02 (5.16 – 410.49)	0.000
Any other family history background defect (no cleft lip and/or palate)		
No	1*	
Yes	8.20 (2.93 – 22.93)	0.000
Bacterial infections during pregnancy		
No	1*	
Yes	2.90 (1.21 – 6.91)	0.017
Prenatal control and vitamins		
No	1*	
Yes	0.29 (0.15 – 0.56)	0.000

* Reference category

Note: Model adjusted by those variables included in the table.

environment; this is perhaps best summarized in the rather diffuse array of factors encompassed in socioeconomic status. Environmentally associated factors can also be related to the regional economic development and hometown environmental conditions, because of the potential exposure to noxious agents (e.g., pollutants such as lead in paint from older housing).

Although the current study did not measure the specific genetic differences between cases and controls, the role of family history factors (coarsely measured through assessments of cleft lip and/or palate background in the family) was evident in the multivariate model. These results have been observed in other epidemiological studies focused on cleft lip and/or palate (21) and confirmed the strong influence of genetic background. Nevertheless, the statistical relationship between

cleft lip and/or palate and close relatives may reflect not only genetic factors but also socioeconomic and/or environmental variables shared by the family members (e.g., low parent education, low socioeconomic level, or dietary folate deficiency). The importance of genetic background has been confirmed in research on specific genetic alterations associated with non-syndromic cleft lip and/or palate (17-20). Therefore, the next step in our research program will be to ascertain specific genetic alterations that are intrinsically linked to cleft lip and/or palate in this Mexican population.

Ample evidence suggests that the position in the social structure is a strong predictor of morbidity and mortality. Furthermore, the existence of an association between health status and social status is generally accepted—those with higher economic position have better health generally

(37,38). The “social gradient in health” implies that inequalities within population health status distribution are related to social status inequalities (39). The associations between socioeconomic position variables and various aspects of oral health have been consistently reported, including our own research on Mexican population groups (40-47). Although the exact mechanism governing these associations is not well understood, a first step toward addressing inequalities is assuming that socioeconomic factors are a multidimensional theoretical construct that covers a wide variety of social and financial circumstances (48).

In the present study, two indicator variables of socioeconomic position remained in the final model: socioeconomic status and location/institution of birth. This finding confirmed previous reports (23-26) (even using other socioeconomic position indicators) that showed that as socioeconomic position decreases, the risk of cleft lip and/or palate increases. Because mechanisms for each indicator and their impact on health status may not be the same for everyone (36), further research is needed to expand the knowledge base and ascertain if the cleft lip and/or palate phenomenon hinges upon (1) differential exposure to noxious agents more likely to be present in poor living conditions, or (2) nutritional deficiencies before and during pregnancy. Detailed study of these associations is necessary to inform preventive interventions tailored to maximize impact for these population groups.

Finally, our results supported previous reports suggesting that maternal infections during pregnancy can be risk factors for cleft lip and/or palate (10). Although the current study was unable to analyze each type of infection, additional studies are necessary to establish the biological mechanisms underlying this association. These studies must include larger samples so that these relationships can indeed be characterized.

Among the limitations of the current study is the potential recall bias that may affect any case and control study, since we were unable to exactly rebuild retrospectively the history of exposure. This bias may be higher in controls than in cases, thereby resulting in an overestimation of the effect of risk factors. We surmise that the variable more susceptible to this bias is infection during pregnancy and prenatal use of vitamins, but this bias may include less susceptible in variables such as family history and background, and socioeconomic position.

The highest risk for cleft lip and/or palate in the current study sample was associated with variables related to family history background, family history of cleft lip and/or palate, and socioeconomic indicator variables. We observed a protective effect of prenatal care and vitamin supplementation. The most significant risk factor (a sibling with cleft lip and/or palate) emphasized the importance of focusing on families that have already been affected by these alterations to offer support and counseling for mothers and families. This framework will promote family planning decisions based on an informed perspective, as well as implementing preventive and dietary health measures to minimize the risk of recurrence.

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Conflict of interest

None

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